

# PLANAR MAPS AND SPANNING FORESTS

Julien COURTIEL (SFU/PIMS)  
DM seminar, Nov. 4<sup>th</sup>

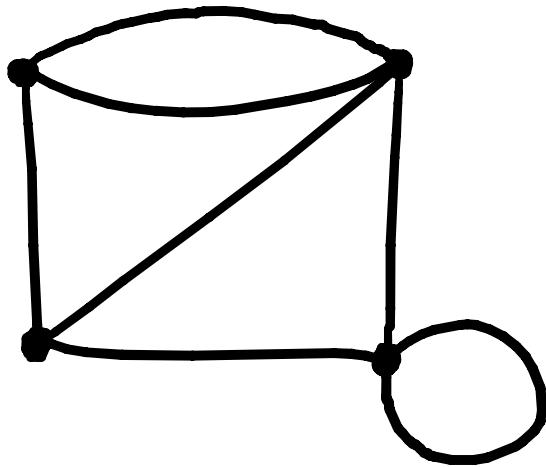


# BASIC NOTIONS



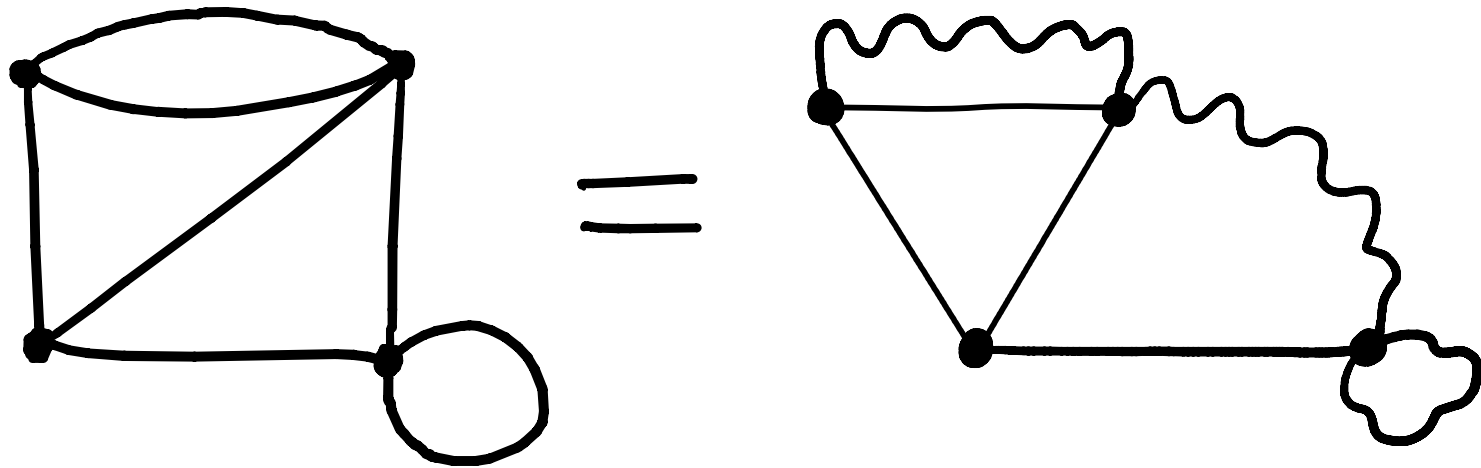
# PLANAR MAPS : DEFINITION

Planar map = connected graph  
+ embedding of this graph in the plane, considered up to continuous deformation.



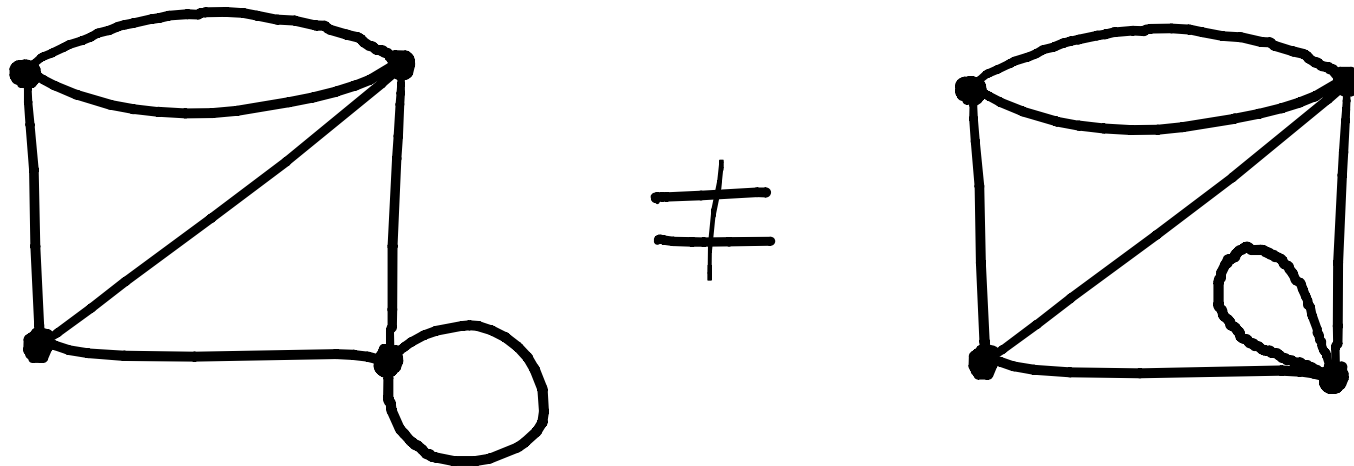
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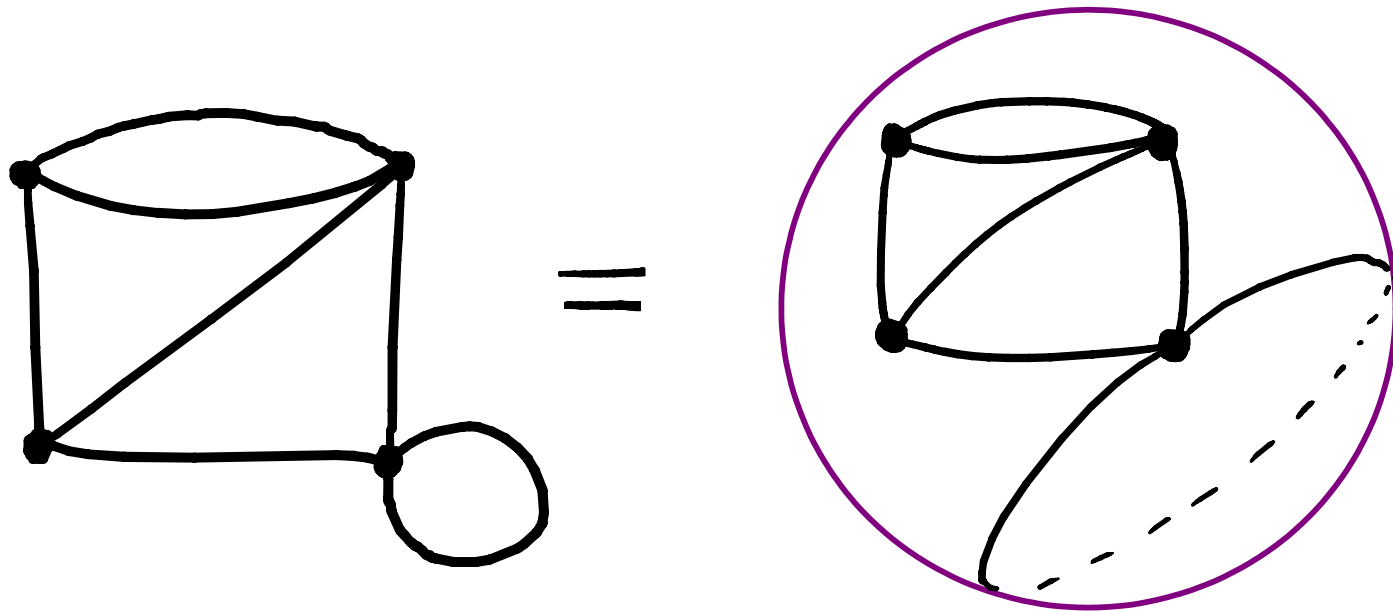
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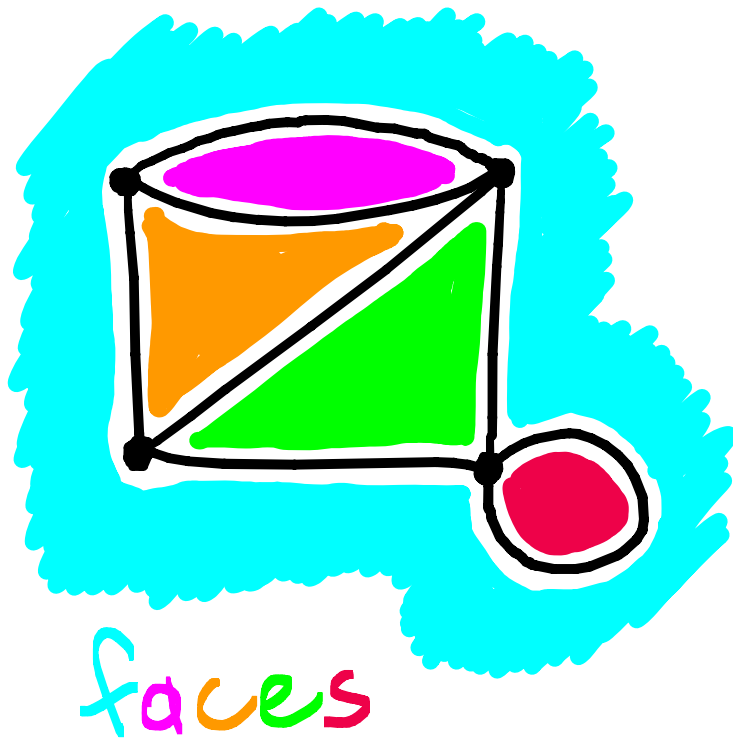
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Planar map = connected graph  
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sphere →

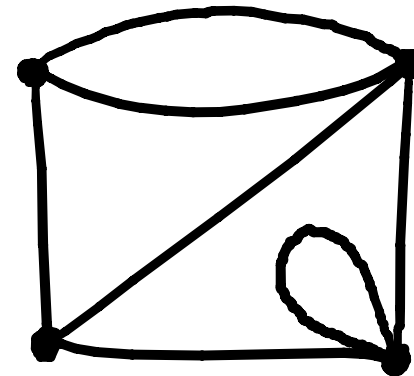


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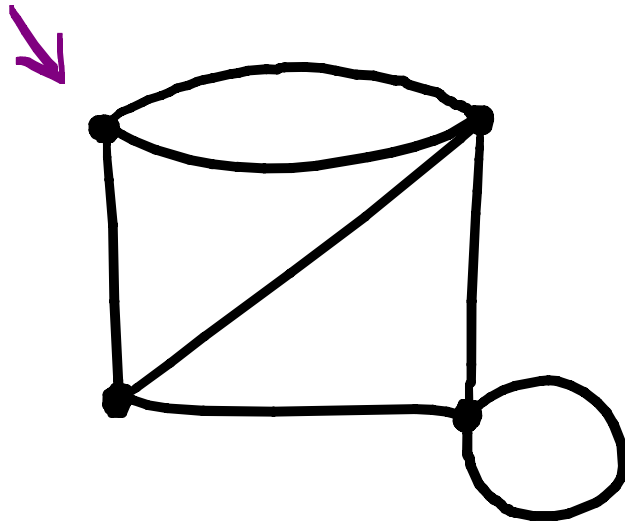


$\neq$



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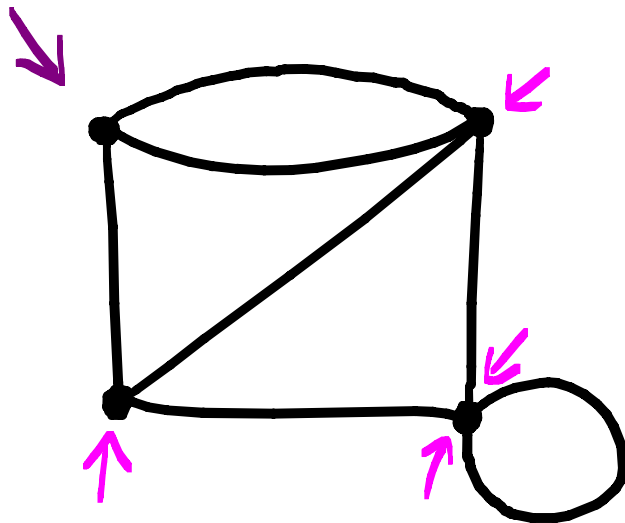


We root every planar map at an outer corner.



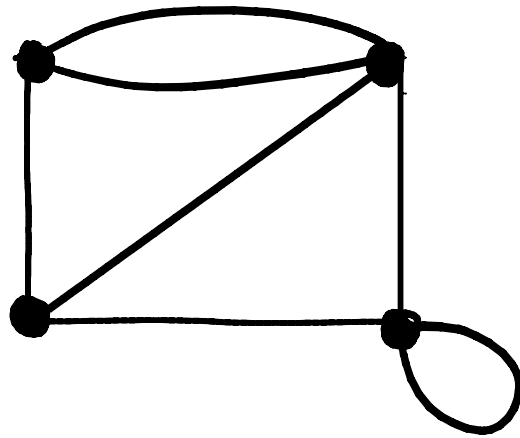
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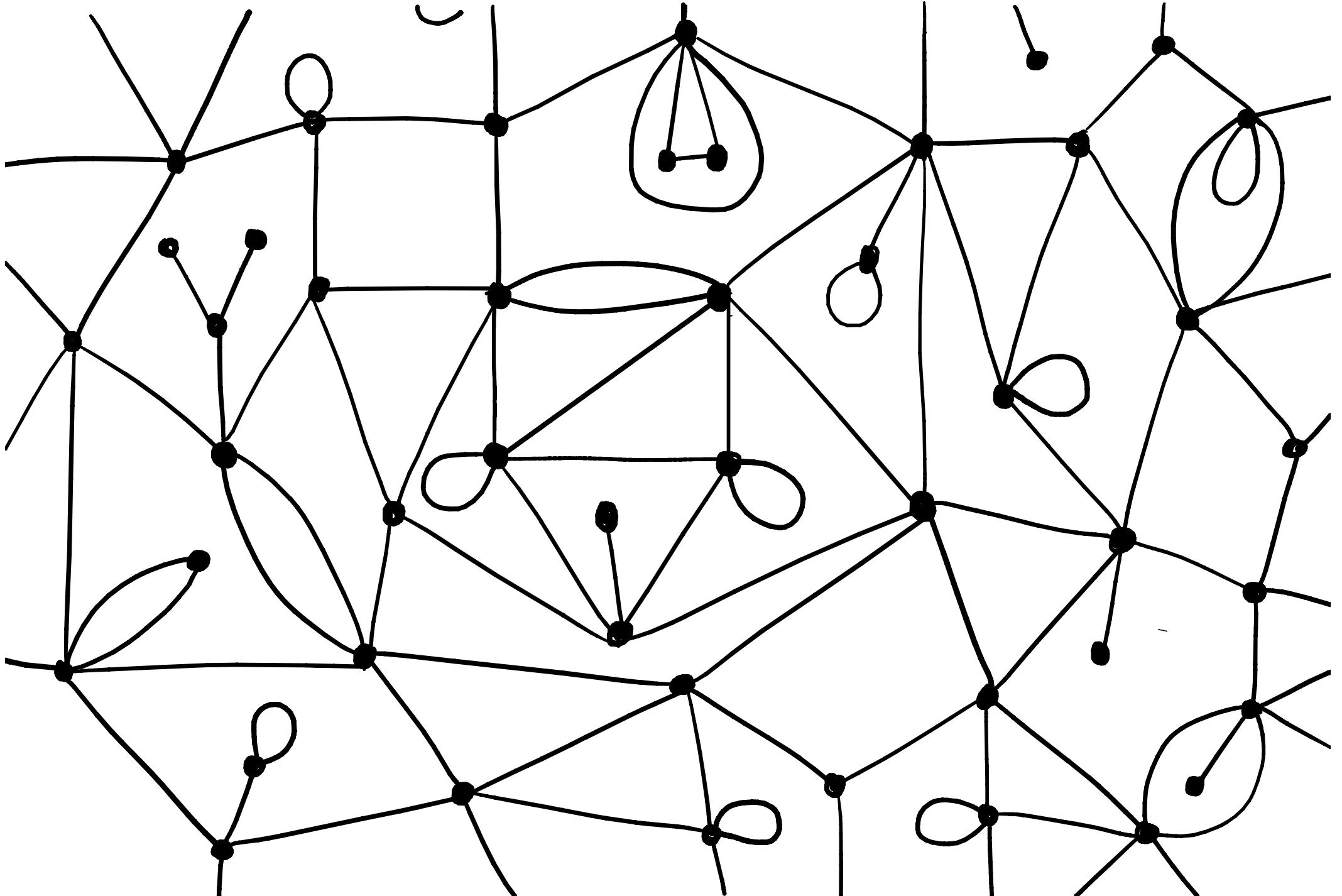


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# LARGE MAPS



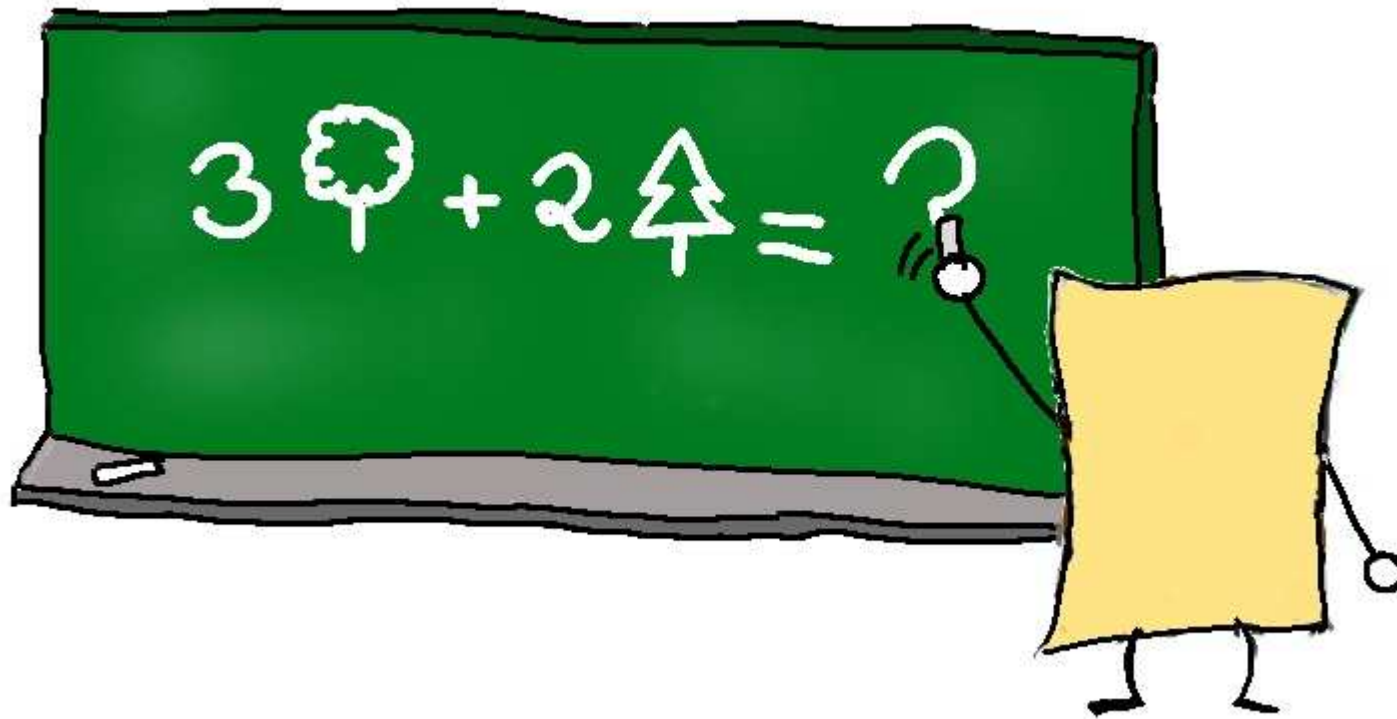
# LARGE MAPS



# APPLICATION AREAS

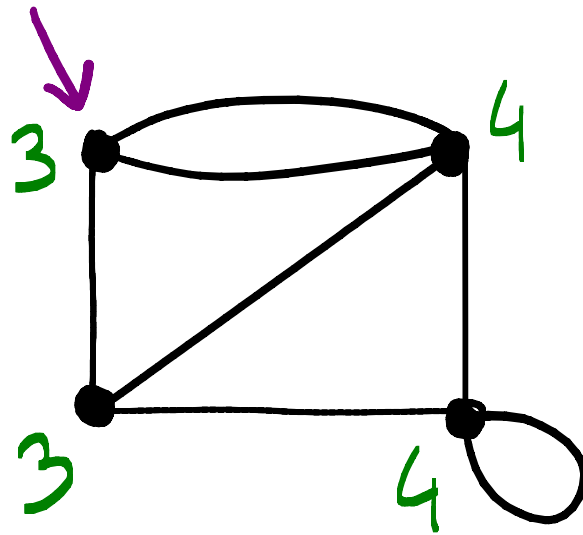
- statistical physics
- probability theory (matrix integrals, random continuous objects...)
- algorithmic geometry
- permutation factorizations
- every area that involves some surface ...

# ENUMERATION OF 4-VALENT MAPS



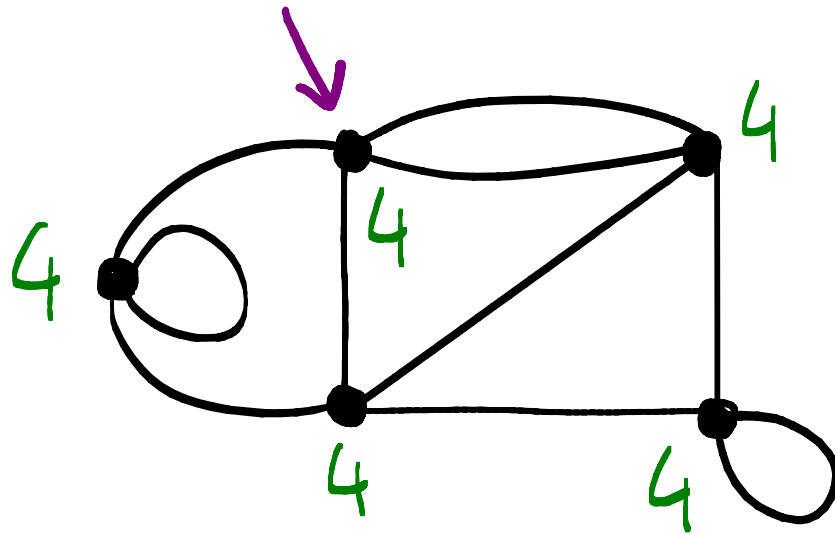
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4-valent map = map where every vertex has degree 4.



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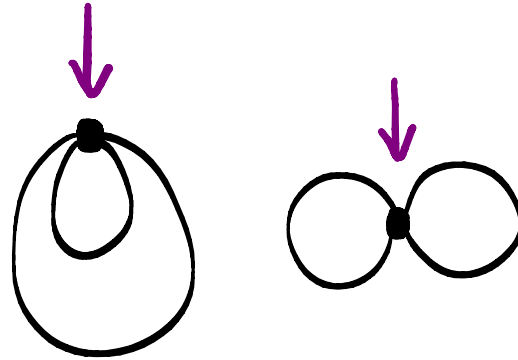




# ENUMERATION OF 4-VALENT MAPS

4-valent map = map where every vertex has degree 4.

$$q_1 = 2$$



$$q_n = \text{number of 4-valent maps with } (n+2) \text{ faces} \\ = 2 \frac{3^n}{(n+1)(n+2)} \binom{2n}{n}$$

# ASYMPTOTIC BEHAVIOUR

$$q_n = 2 \frac{3^n}{(n+1)(n+2)} \binom{2n}{n}$$

Stirling formula:

$$q_n \sim \frac{2}{\sqrt{\pi}} 12^n n^{-5/2}$$

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typical for  
planar  
maps

# NATURE OF THE GENERATING FUNCTION

Generating function of the 4-valent maps:

$$Q(z) = \sum_{n \geq 1} q_n z^n$$

Nature of the generating function?

rational  $\rightarrow$  algebraic  $\rightarrow$  D-finite  $\rightarrow$  D-algebraic

$Q = \frac{P_1}{P_2}$        $\exists$  polynomial that annihilates  $Q$       satisfies a linear DE      satisfies a polynomial DE

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$$Q = T - zT^3 \quad T = 1 + 3zT^2$$

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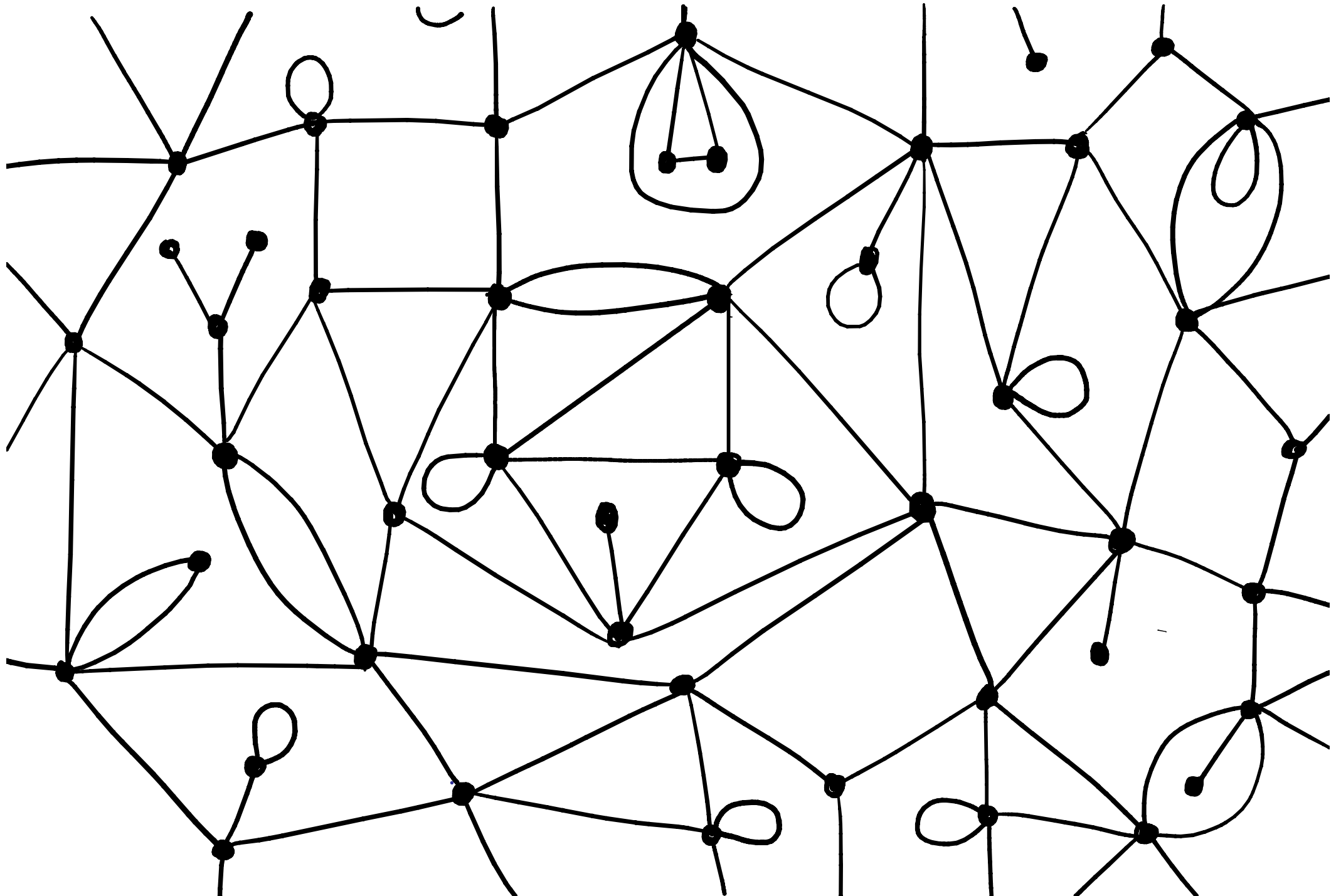
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polynomial DE

$\hookrightarrow$  form of the asymptotics

$$Q = T - z T^3$$

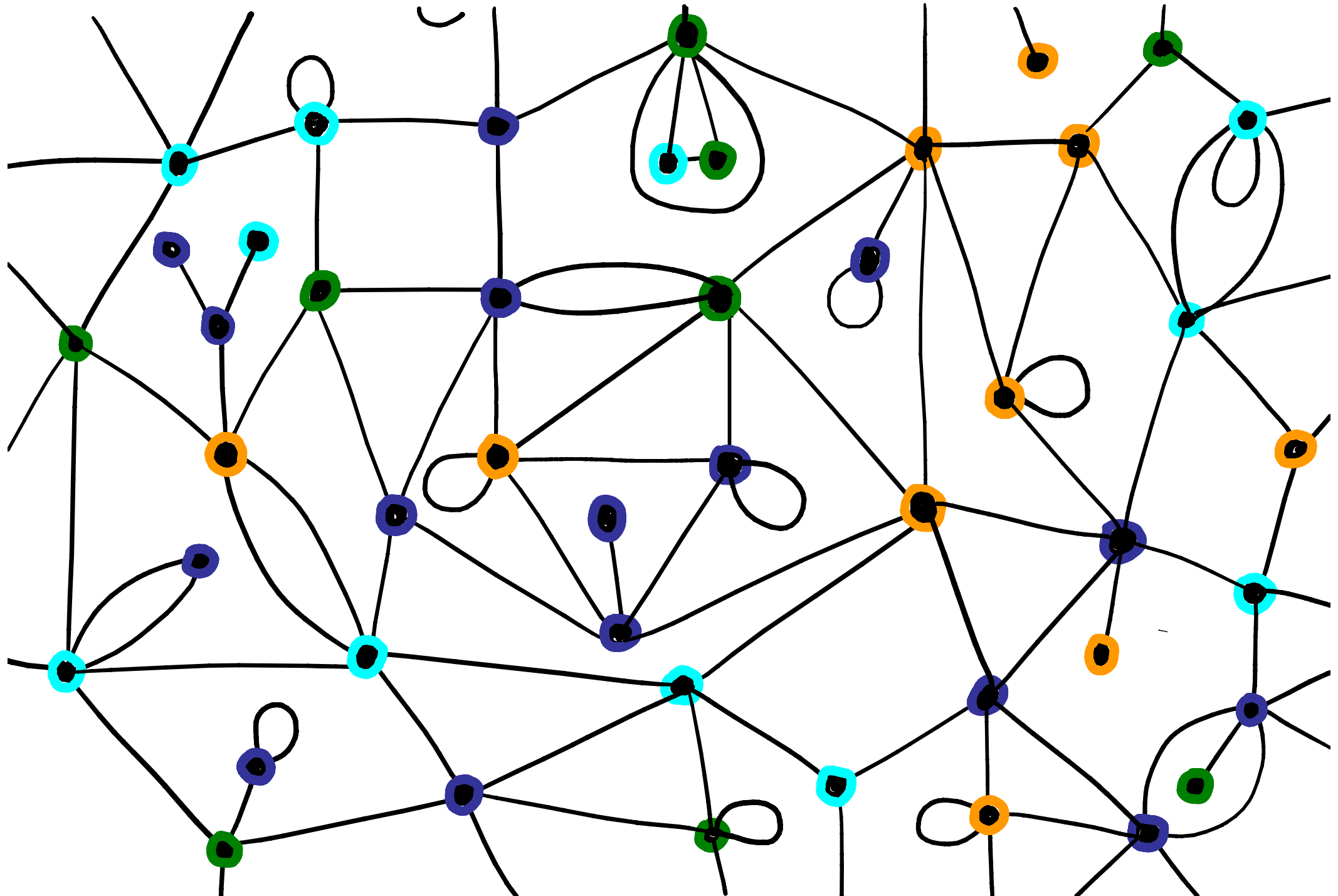
$$T = 1 + 3z T^2$$

# THE POTTS MODEL

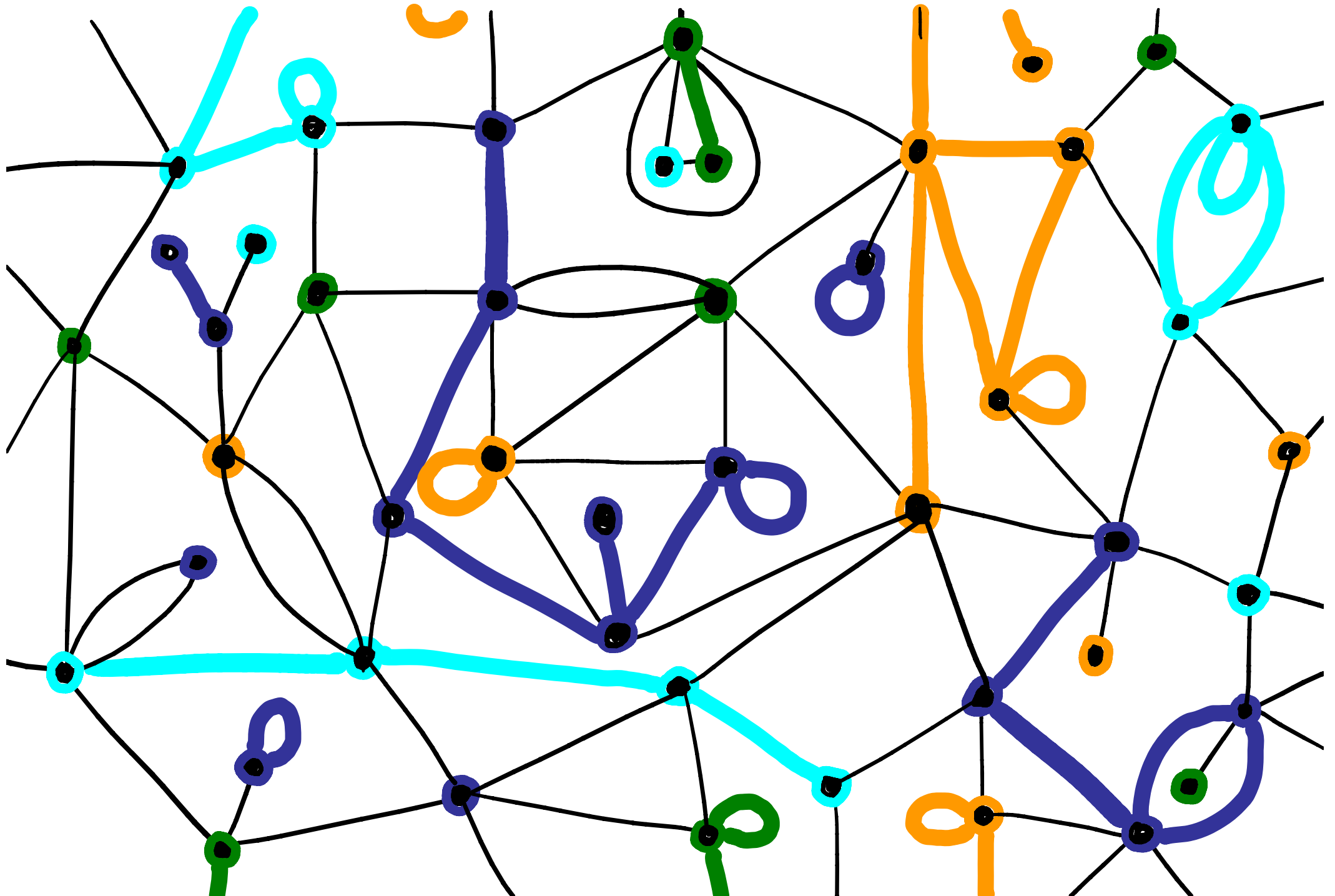




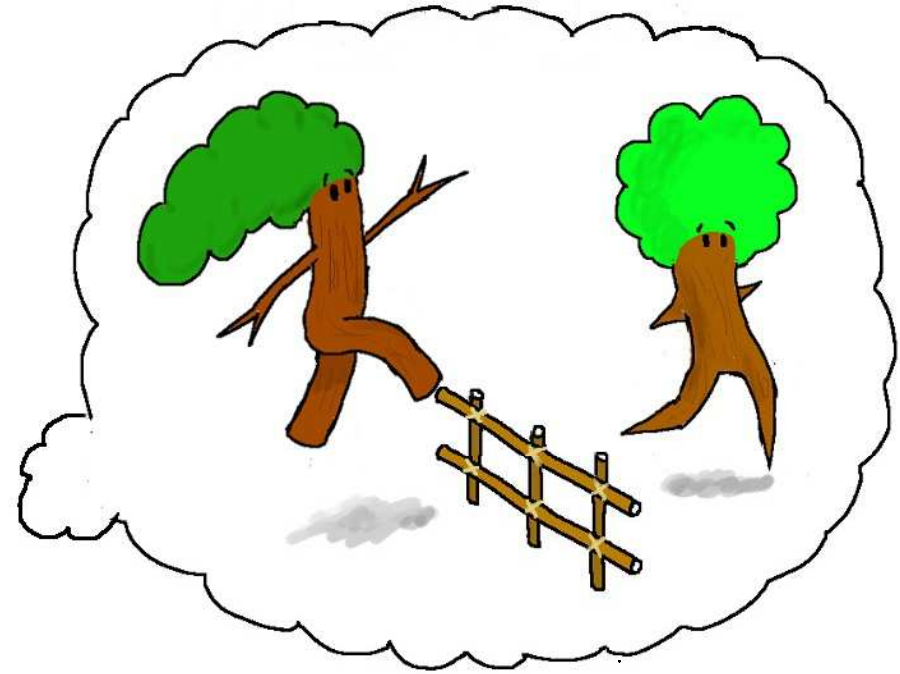
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# FORESTED MAPS

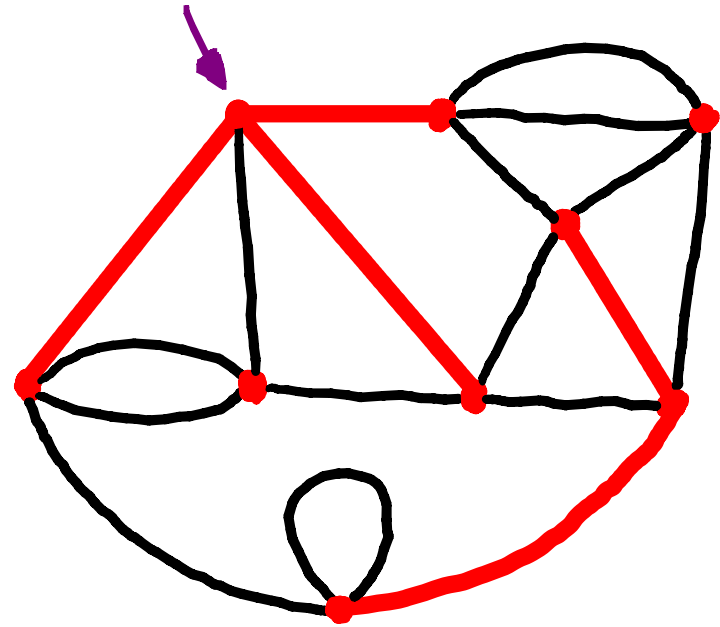


with Mireille BOUSQUET-MÉLOU (Bordeaux)

# FORESTED MAPS & DEFINITION

Spanning forest of  $M =$   
graph  $F$  such that:

- $V(F) = V(M)$
- $E(F) \subseteq E(M)$  has no cycle.



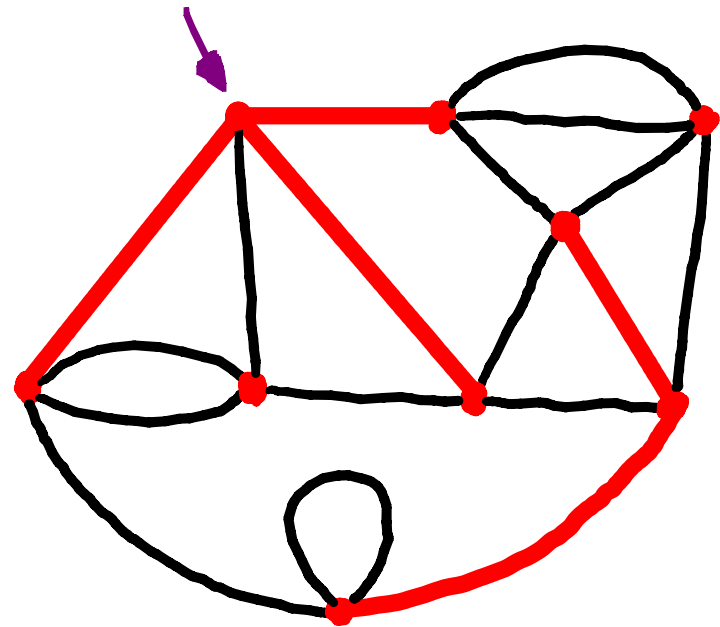
Forested map  $(M, F) =$  Rooted map  $M$  with a spanning forest  $F$ .

Some other structures: Spanning trees, colourings, percolation,  
Ising/Potts model, self-avoiding walks... [Tutte, Mullin,  
Kazakov, Borot, Bouttier, Guitter, Sportiello, Eynard,  
Duplantier, Bousquet-Mélou, Schaeffer, Bernardi, Angel ...]

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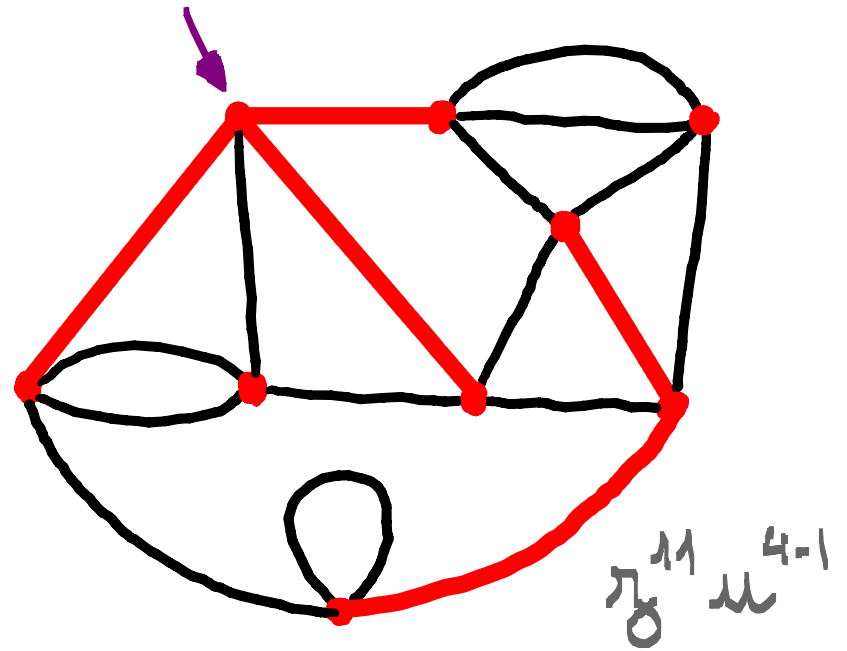
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$$F(\mathfrak{z}, \mu) = \sum_{\substack{(M, F) \text{ 4-valent} \\ \text{forested map}}} \mathfrak{z}^{\# \text{ faces}} \mu^{\# \text{ components} - 1}$$

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# SPECIAL VALUES OF $\mu$

$$F(\mathcal{Z}, \mu) = \sum_{\substack{(M, F) \text{ 4-valent} \\ \text{forested map}}} \mathcal{Z}^{\# \text{ faces}} \mu^{\# \text{ components} - 1}$$

\*  $\mu = 1$ : spanning forests

\*  $\mu = 0$ : spanning trees [Mullin, 1967]

\*  $\mu = -1$ : root-connected acyclic orientations  
on (dual) quadrangulations.  
[Las Vergnas, 1984]

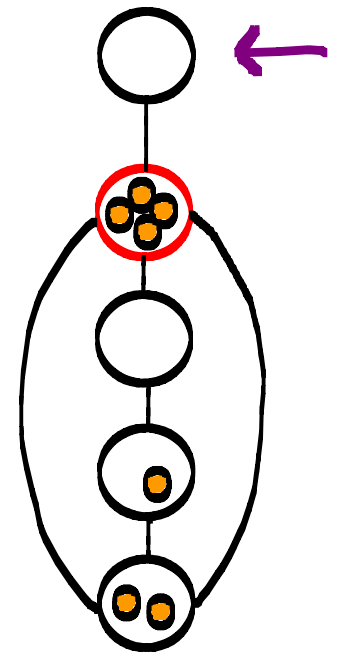
# GENERIC VALUES OF $\mu$

- 1) Connected subgraphs on quadrangulations (counted by cycles)
- 2) Tutte polynomial  $T_M(\mu + 1, 1)$
- 3) Sandpile model [Merino Lopez, Cori, Le Borgne]
- 4) Limit  $q \rightarrow 0$  of the Potts model.

Natural domain  
 $\mu \in [-1, +\infty)$

3)

$$F(z, \mu) = \sum_{\text{quadrangulation with recurrent configuration } C} z^{\# \text{ vertices}} (\mu + 1)^{\text{level}(C)}$$



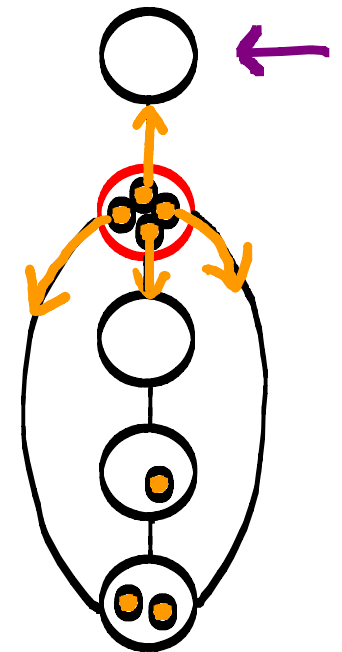


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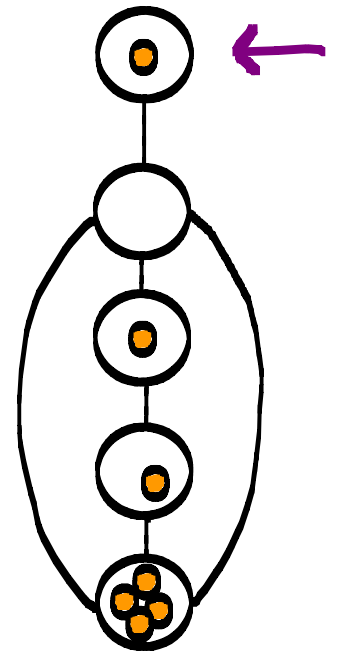


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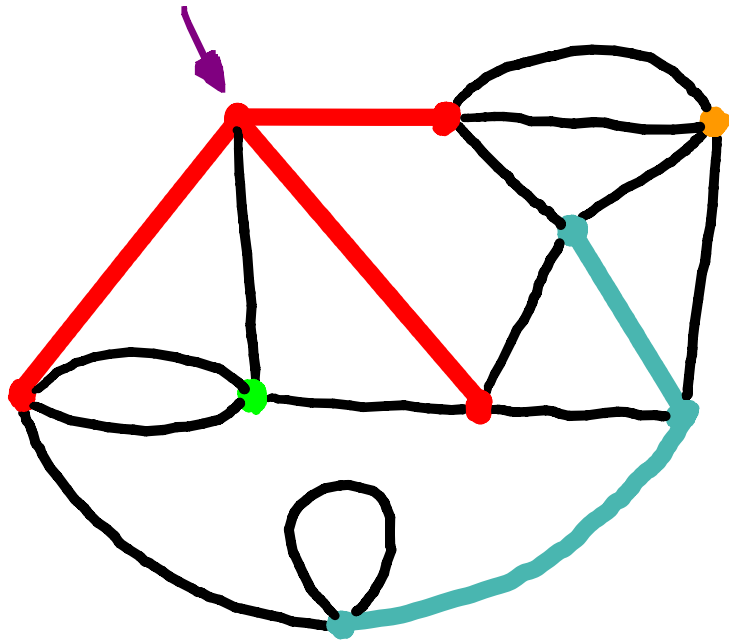
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# QUESTIONS

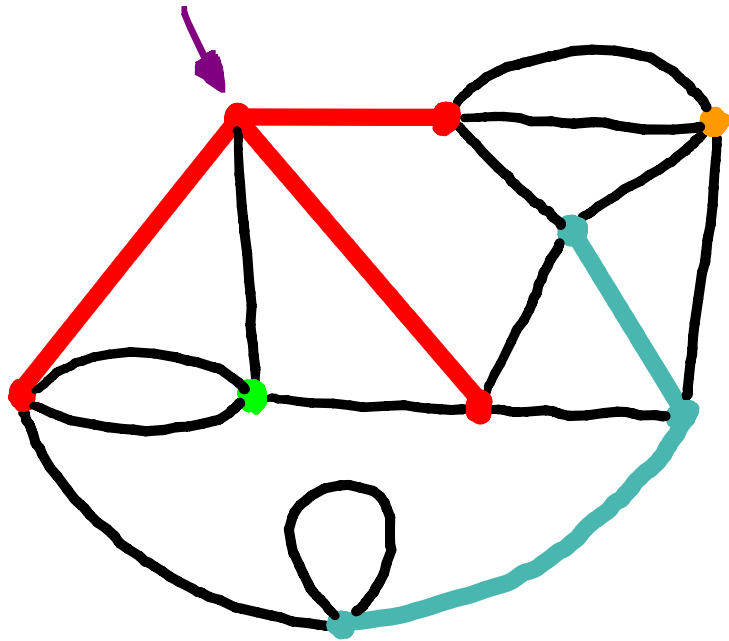
- Characterization of  $\mathbb{F}$ ?
- Asymptotic behaviour?
- Nature of  $\mathbb{F}$ ?
- Statistical properties on large maps?

# FROM FORESTED TO GENERAL MAPS

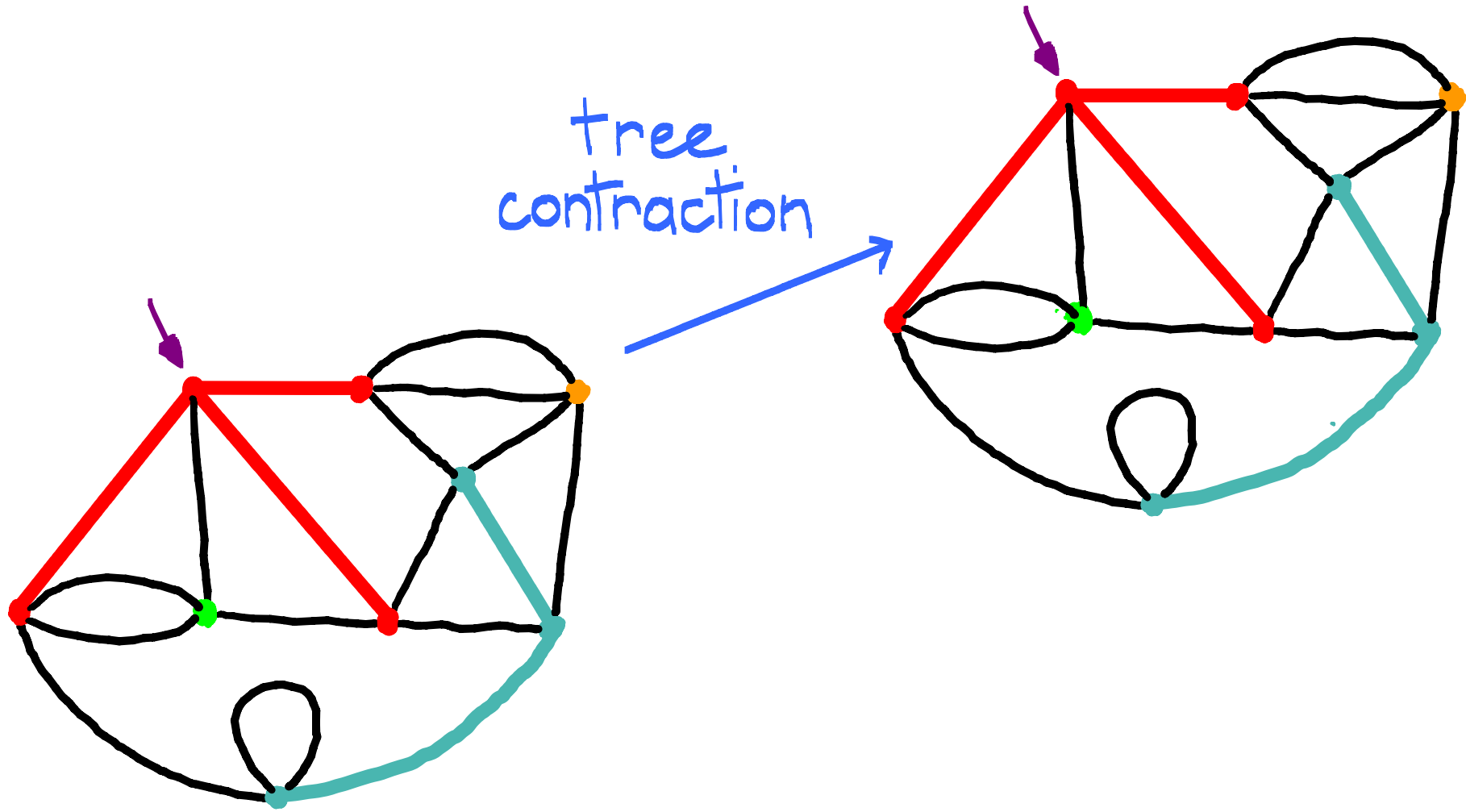


Idea from  
[Bouttier, Di Francesco,  
Guitter, 2008]

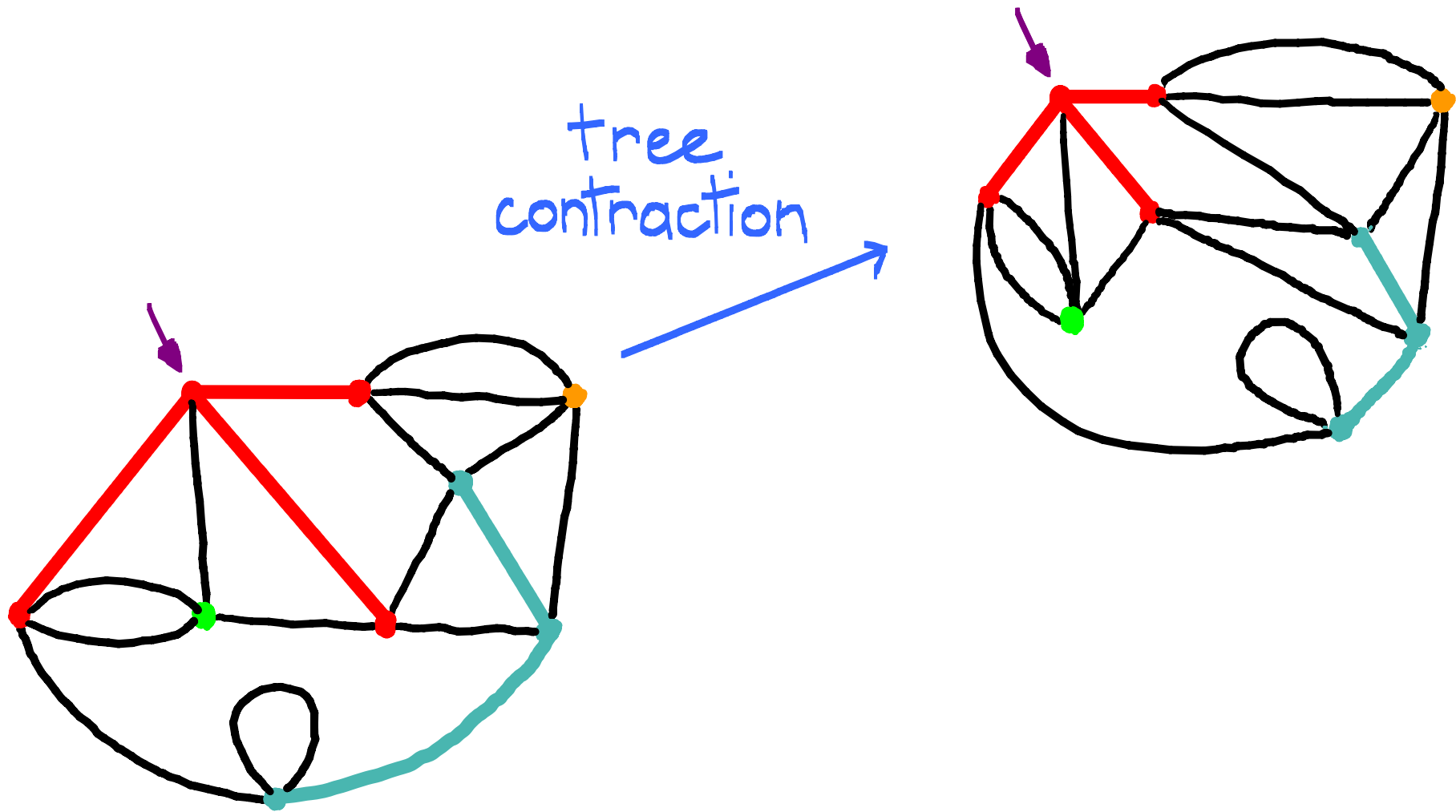
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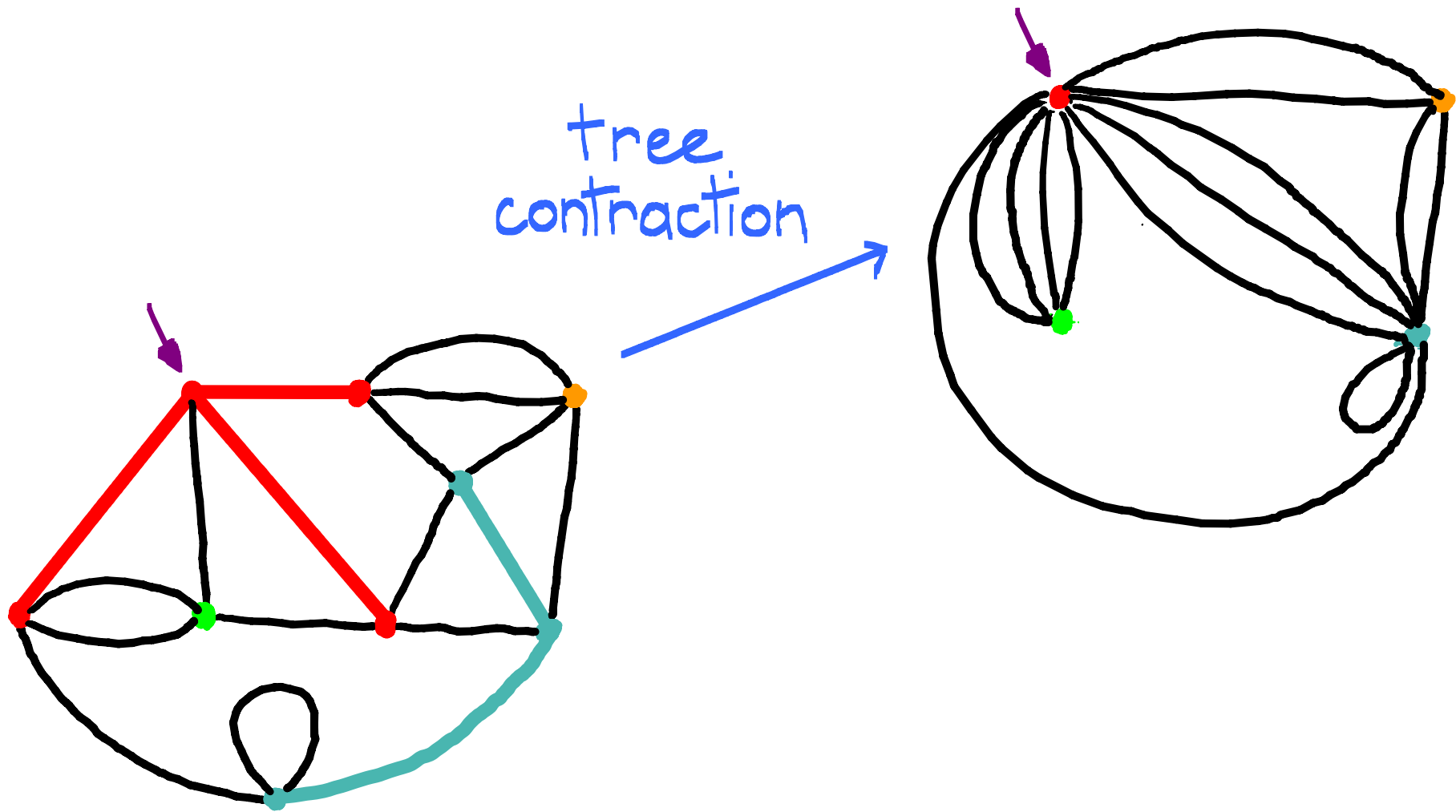
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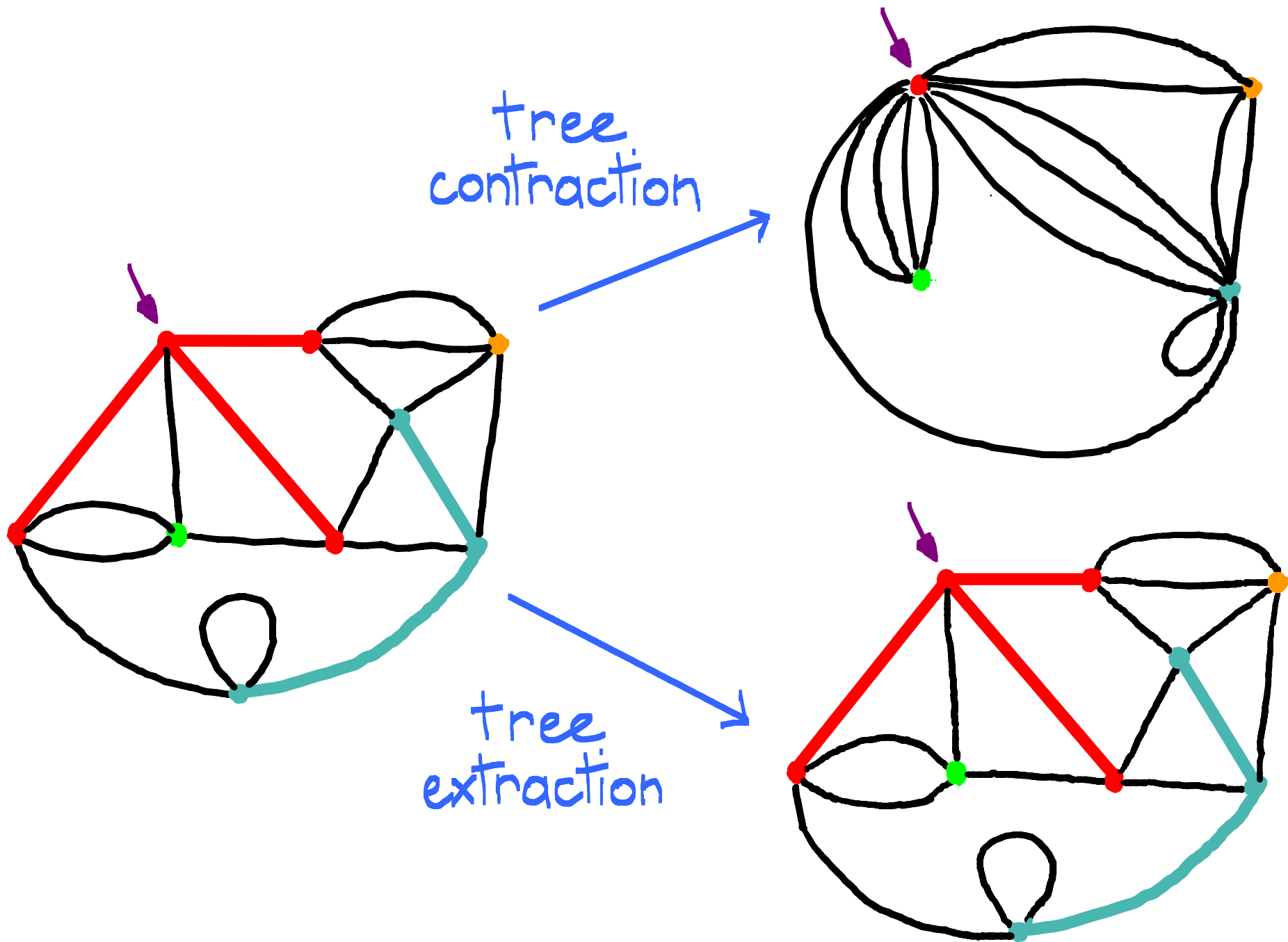


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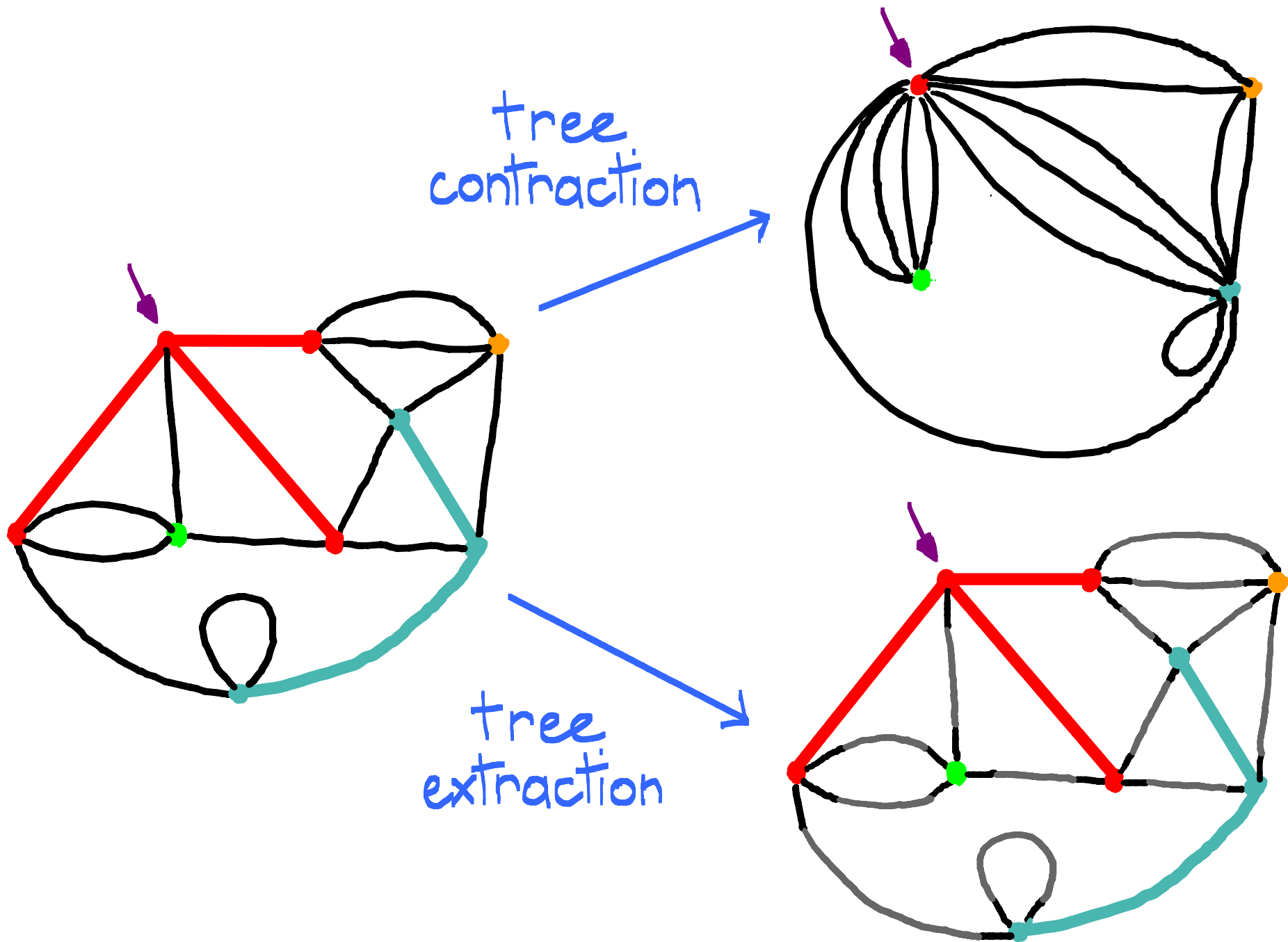




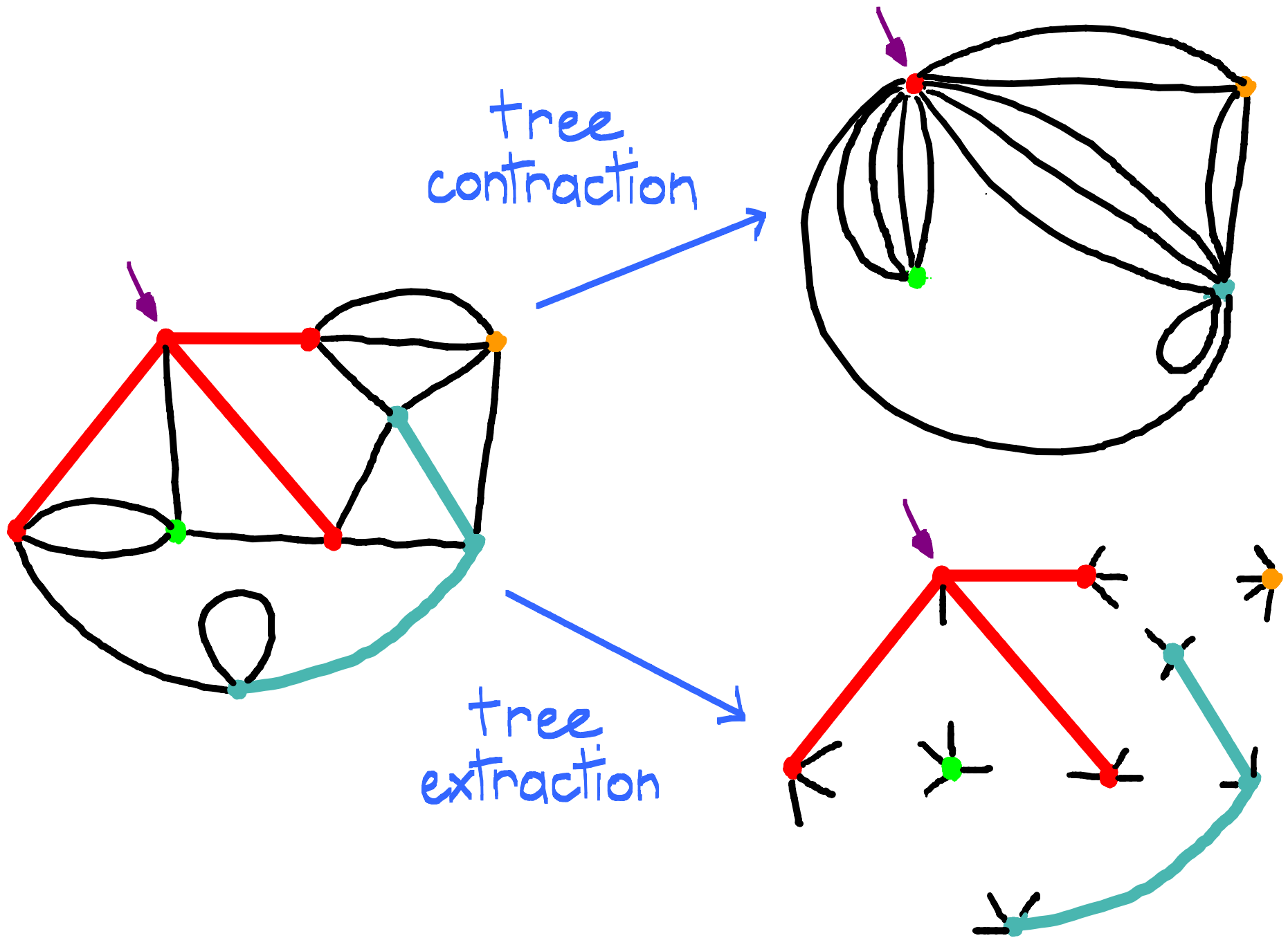
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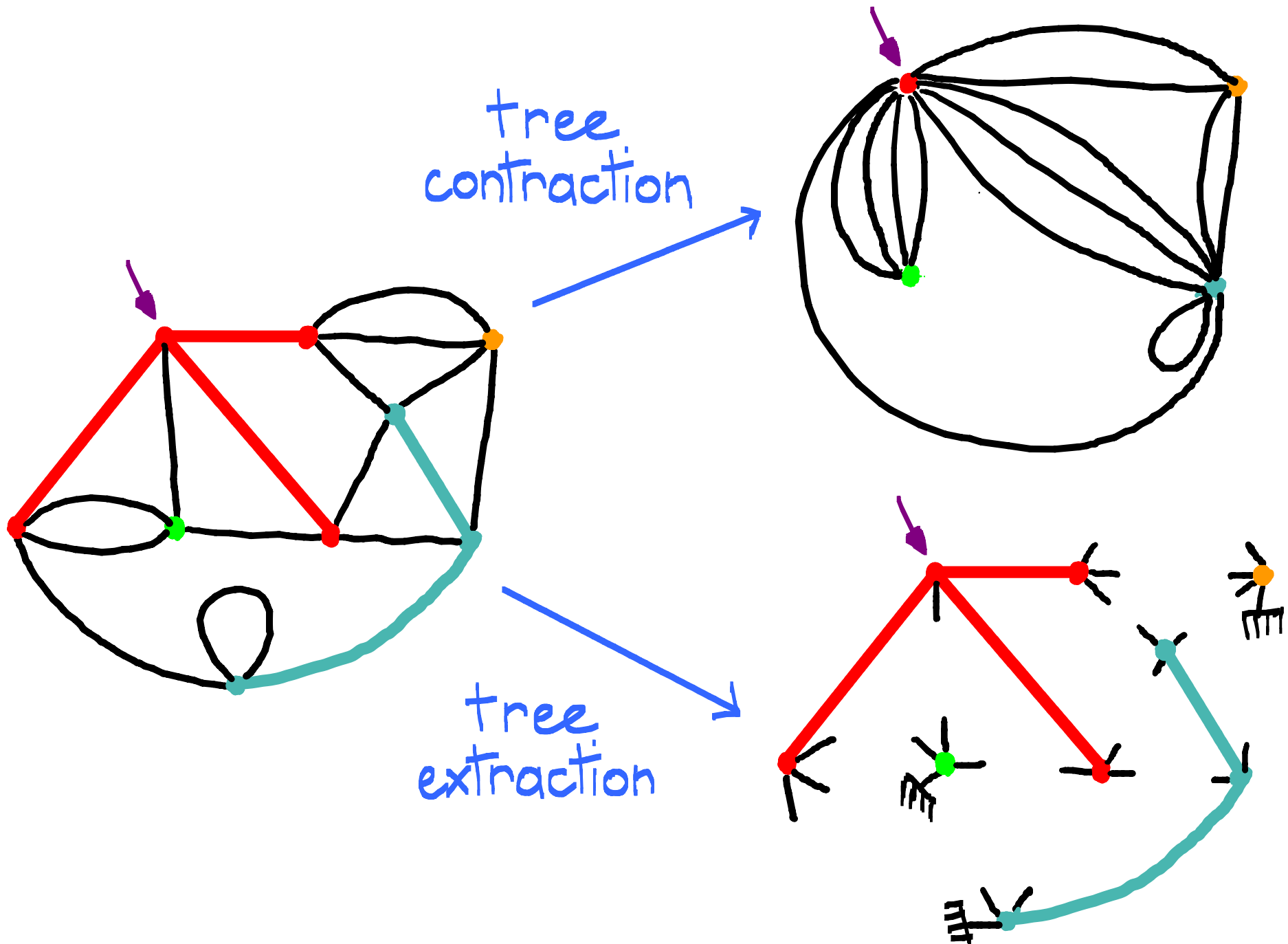
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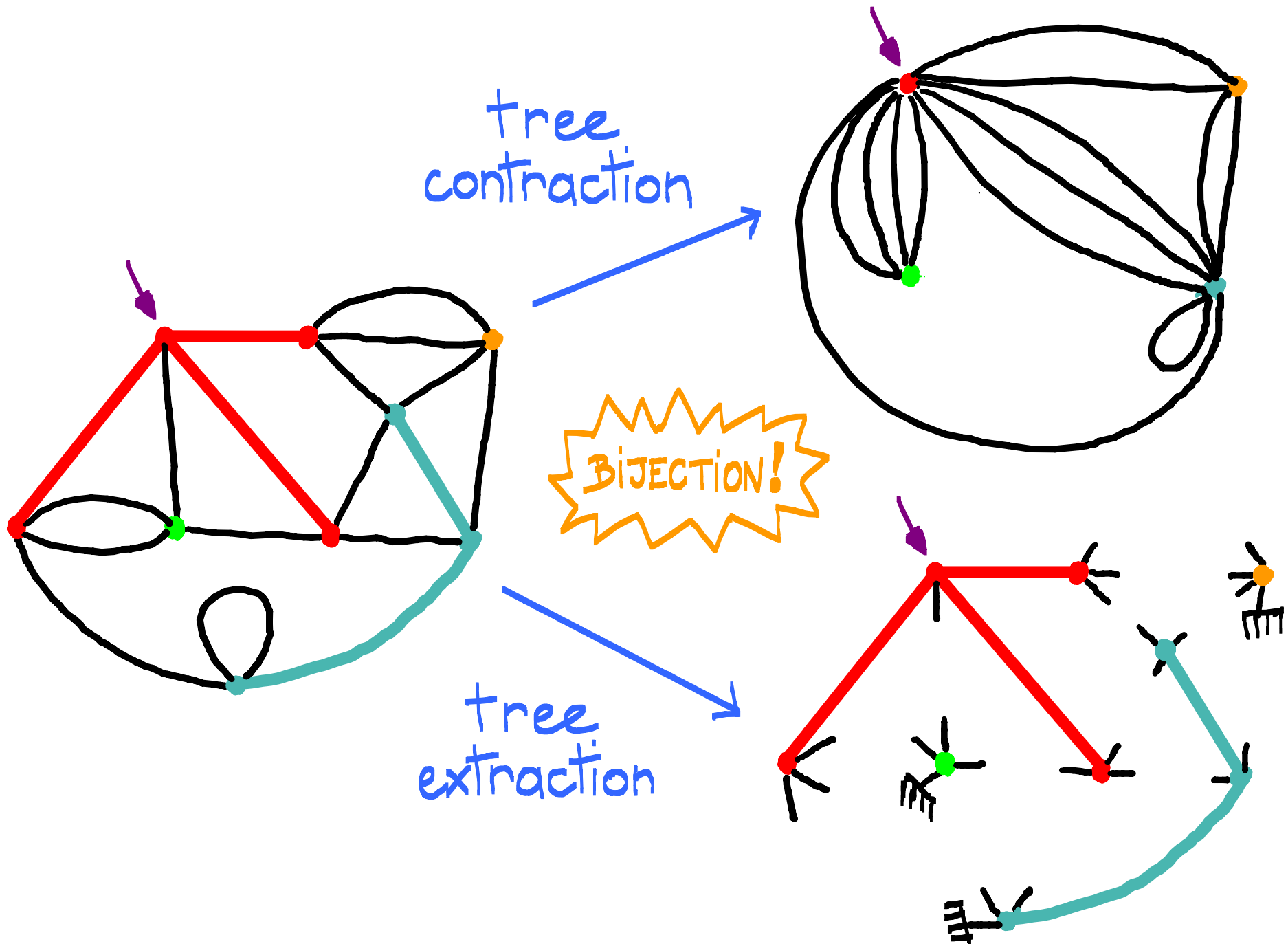
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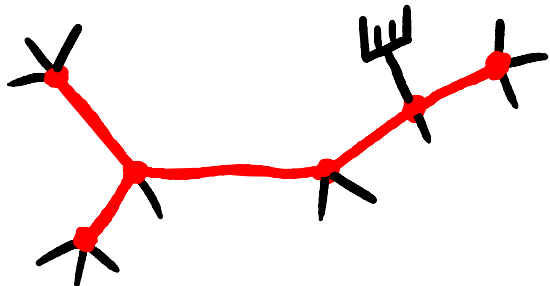
# TRANSLATION INTO GENERATING FUNCTIONS

$M(z, u; g_1, g_2, g_3, \dots; h_1, h_2, h_3, \dots) =$   
Generating function of rooted maps with a weight:

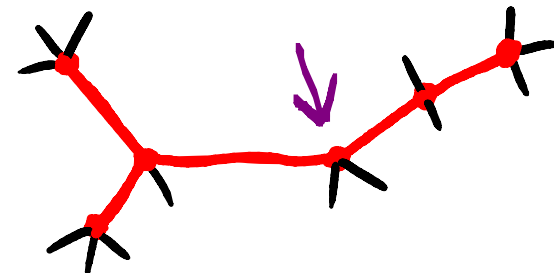
- $z$  per face,
- $u g_k$  per non-root vertex of degree  $k$ ,
- $h_k$  if the root vertex has degree  $k$ .

$$F(z, u) = M(z, u; t_1, t_2, t_3, \dots; t_1^c, t_2^c, t_3^c, \dots)$$

$t_k = \#$  4-valent  
leaf-rooted trees with  $k$  leaves



$t_k^c = \#$  4-valent  
corner-rooted trees with  $k$  leaves



# GENERATING FUNCTION FOR GENERAL MAPS

$M(z, u; g_1, g_2, g_3, \dots; h_1, h_2, h_3, \dots) =$   
Generating function of rooted maps with a weight:

- $z$  per face,
- $u g_k$  per non-root vertex of degree  $k$ ,
- $h_k$  if the root vertex has degree  $k$ .

This generating function is known  
of [Bouttier - Guitter, 2012]

( $M'$  is even nicer.)

Notation:  $X' = \frac{\partial X}{\partial g_k}$

# THE GENERATING FUNCTION OF FORESTED MAPS

## Theorem

There exists a unique series  $R$  in  $\mathcal{R}_g$  with coefficients in  $\mathbb{Q}[u]$  such that

$$R = \mathcal{R}_g + u \sum_{i \geq 2} \frac{(3i-3)!}{(i-1)!^2 i!} R^i$$

Then:

$$F' = 4 \sum_{i \geq 2} \frac{(3i-2)!}{(i-2)! i!^2} R^i$$



# THE GENERATING FUNCTION OF FORESTED MAPS

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There exists a unique series  $R$  in  $\mathcal{R}_y$  with coefficients in  $\mathbb{Q}[u]$  such that

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For  $u=0$ , [Mullin]

$$R = \mathcal{R}_y \text{ and } F' = 4 \sum_{i \geq 2} \frac{(3i-2)!}{(i-2)! i!^2} \mathcal{R}_y^i \text{ D-finite.}$$

# THE GENERATING FUNCTION OF FORESTED MAPS

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There exists a unique series  $R$  in  $\mathcal{R}_y$  with coefficients in  $\mathbb{Q}[u]$  such that

$$R = \mathcal{R}_y + u \phi(R)$$

Then:

$$F' = \Theta(R)$$

where

$$\phi(x) = \sum_{i \geq 2} \frac{(3i-3)!}{(i-1)!^2 i!} x^i, \quad \Theta(x) = 4 \sum_{i \geq 2} \frac{(3i-2)!}{(i-2)! i!^2} x^i.$$

# A DIFFERENTIAL EQUATION FOR $F$

$$\mathbb{R} = \mathfrak{z} + u \phi(\mathbb{R}) \quad F' = \Theta(\mathbb{R})$$

**Prop**  $F$  is  $\mathbb{D}$ -algebraic.

(Fundamental reason :  $\phi$  and  $\Theta$  are  $\mathbb{D}$ -finite.)

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cf Bernardi - Bousquet-Mélou's result:

The Potts generating function of planar maps is  $\mathcal{D}$ -algebraic.

(established in a more painful way.)

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Can a differential equation for  $F$  be explicitly computed?

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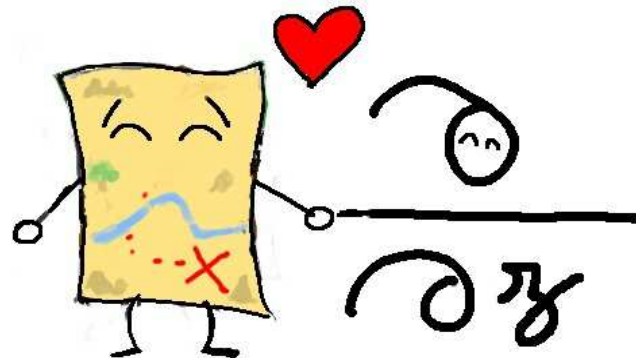
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Can a differential equation for  $F$  be explicitly computed?

YES!



# A DIFFERENTIAL EQUATION FOR F

$$\begin{aligned} & 9F'^2 F''^5 \mu^6 + 36 F'^2 F''^3 F''' \mu^5 \eta + 144 F'^2 F''^4 \mu^5 - 12(21\eta - 1) F' F''^5 \mu^5 + 432 F'^2 F''^2 F''' \mu^4 \eta \\ & - 48(24\eta - 1) F' F''^3 F''' \mu^4 \eta + 864 F'^2 F''^3 \mu^4 - 96(27\eta - 2) F' F''^4 \mu^4 + 4(27\eta - 1)(15\eta - 1) F''^5 \mu^4 \\ & + 1728 F'^2 F'' F''' \mu^3 \eta - 288(21\eta - 2) F' F''^2 F''' \mu^3 \eta + 10368 F' F''^2 \mu^2 \eta^3 + 16(27\eta - 1)(21\eta - 1) F''^3 F''' \mu^3 \eta \\ & + 2304 F'^2 F''^2 \mu^3 - 288(31\eta - 4) F' F''^3 \mu^3 - 64(6\mu\eta - 162\eta^2 + 33\eta - 1) F''^4 \mu^3 + 2304 F'^2 F''' \mu^2 \eta \\ & - 2304(6\eta - 1) F' F'' F''' \mu^2 \eta - 192(8\mu\eta - 54\eta^2 + 29\eta - 1) F''^2 F''' \mu^2 \eta - 768(2\mu + 189\eta - 7) F''^2 \mu \eta^3 \\ & + 2304 F'^2 F'' \mu^2 - 3072(3\eta - 1) F' F''^2 \mu^2 - 192(24\mu\eta - 27\eta^2 + 55\eta - 2) F''^3 \mu^2 - 1536(21\eta - 2) F' F''' \mu \eta \\ & - 768(12\mu\eta + 81\eta^2 + 24\eta - 1) F'' F''' \mu \eta + 1536(9\eta + 2) F' F'' \mu - 512(39\mu\eta + 81\eta^2 + 51\eta - 2) F''^2 \mu \\ & + 36864 F' \eta - 1024(12\mu\eta - 162\eta^2 + 33\eta - 1) F''' \eta - 1024(36\mu\eta + 27\eta - 1) F'' - 24576 \eta = 0. \end{aligned}$$

Differential equation of order 2 in  $F'$  and degree 7.  
(but not in  $F$ )

# A DIFFERENTIAL EQUATION FOR F

$$\begin{aligned} & 9F'^2 F''^5 \mu^6 + 36 F'^2 F''^3 F''' \mu^5 \eta + 144 F'^2 F''^4 \mu^5 - 12(21\eta - 1) F' F''^5 \mu^5 + 432 F'^2 F''^2 F''' \mu^4 \eta \\ & - 48(24\eta - 1) F' F''^3 F''' \mu^4 \eta + 864 F'^2 F''^3 \mu^4 - 96(27\eta - 2) F' F''^4 \mu^4 + 4(27\eta - 1)(15\eta - 1) F''^5 \mu^4 \\ & + 1728 F'^2 F'' F''' \mu^3 \eta - 288(21\eta - 2) F' F''^2 F''' \mu^3 \eta + 10368 F' F''^2 \mu^2 \eta^3 + 16(27\eta - 1)(21\eta - 1) F''^3 F''' \mu^3 \eta \\ & + 2304 F'^2 F''^2 \mu^3 - 288(31\eta - 4) F' F''^3 \mu^3 - 64(6\mu\eta - 162\eta^2 + 33\eta - 1) F''^4 \mu^3 + 2304 F'^2 F''' \mu^2 \eta \\ & - 2304(6\eta - 1) F' F'' F''' \mu^2 \eta - 192(8\mu\eta - 54\eta^2 + 29\eta - 1) F''^2 F''' \mu^2 \eta - 768(2\mu + 189\eta - 7) F''^2 \mu \eta^3 \\ & + 2304 F'^2 F'' \mu^2 - 3072(3\eta - 1) F' F''^2 \mu^2 - 192(24\mu\eta - 27\eta^2 + 55\eta - 2) F''^3 \mu^2 - 1536(21\eta - 2) F' F''' \mu \eta \\ & - 768(12\mu\eta + 81\eta^2 + 24\eta - 1) F'' F''' \mu \eta + 1536(9\eta + 2) F' F'' \mu - 512(39\mu\eta + 81\eta^2 + 51\eta - 2) F''^2 \mu \\ & + 36864 F' \eta - 1024(12\mu\eta - 162\eta^2 + 33\eta - 1) F''' \eta - 1024(36\mu\eta + 27\eta - 1) F'' - 24576 \eta = 0. \end{aligned}$$

Differential equation of order 2 in  $F'$  and degree 7.  
(but not in  $F$ )

(Moreover, this is the equation with minimal order.)

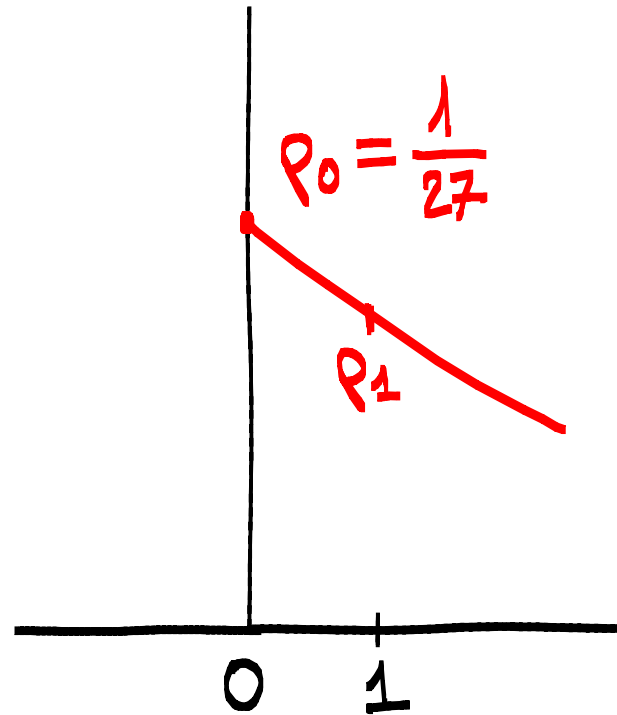
↑ Thanks Alin Bostan, Bruno Salvy & Michael Singer!



# RADIUS OF CONVERGENCE

Fix  $\mu$ ,

$\rho_\mu =$  radius of convergence of  $F(z, \mu) = \sum_n f_n(\mu) z^n$ .



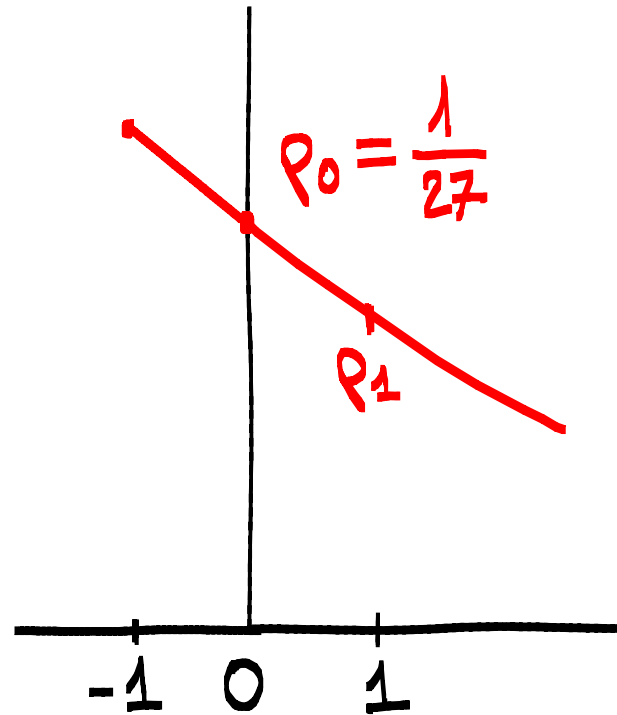
$$\begin{cases} \rho_\mu = z_\mu - \mu \phi(z_\mu) \\ \phi'(z_\mu) = \frac{1}{\mu} \end{cases} \quad (\mu > 0)$$

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Fix  $\mu$  in  $[-1, +\infty)$ ,

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$\rho_\mu$  is affine  
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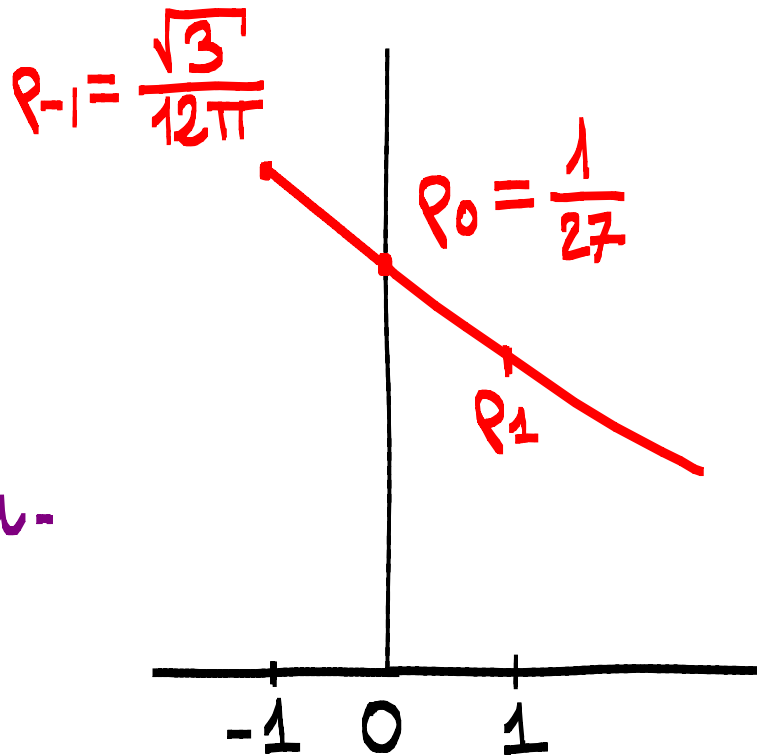
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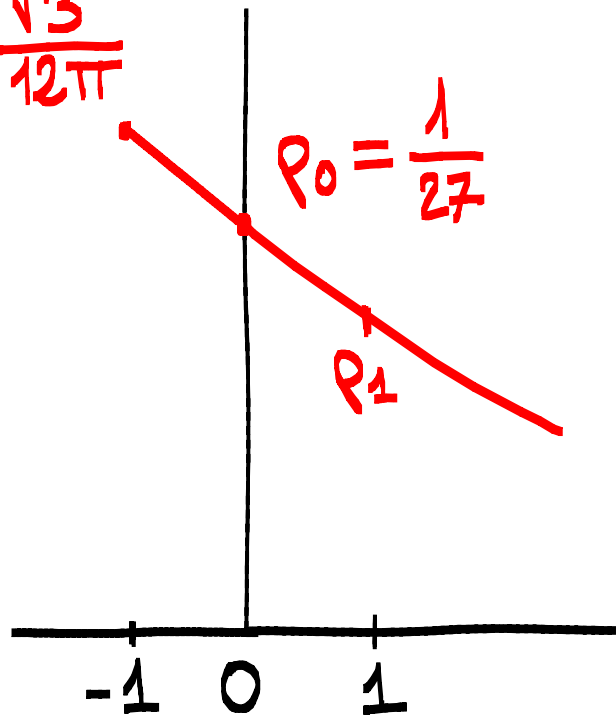
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$$\rho_0 = \frac{1}{27}$$

$\rho_1$

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**Cor**

$\rho_{-1}$  is transcendental:  
 $F(z, -1)$  is not D-finite.

# PHASE TRANSITION AT 0

$$f_n(u) = [z^n] F(z, u)$$

$$-1 \leq u < 0$$

$$f_n(u) \sim \frac{c u \rho u^{-n}}{n^3 \ln^2 n}$$

New  
"Universality class"  
for maps

$$u = 0$$

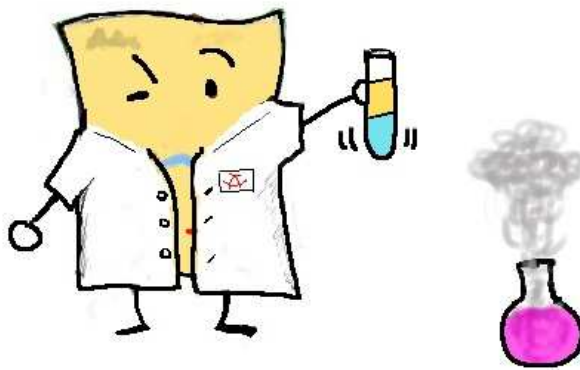
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maps with a  
spanning tree

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↑  
standard



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For  $u \in [-1, 0)$ ,  $F(z, u)$  is not D-finite.

# IDEA OF THE PROOF

Singularity analysis

[Flajolet - Odlyzko]

A link between the singular behaviour of  $F(z, u)$  near  $\rho_u$  and the asymptotic behaviour of  $f_n(u)$ .

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$$R = z_y + u \phi(R) \quad \text{radius of convergence of } \phi = \frac{1}{27}$$



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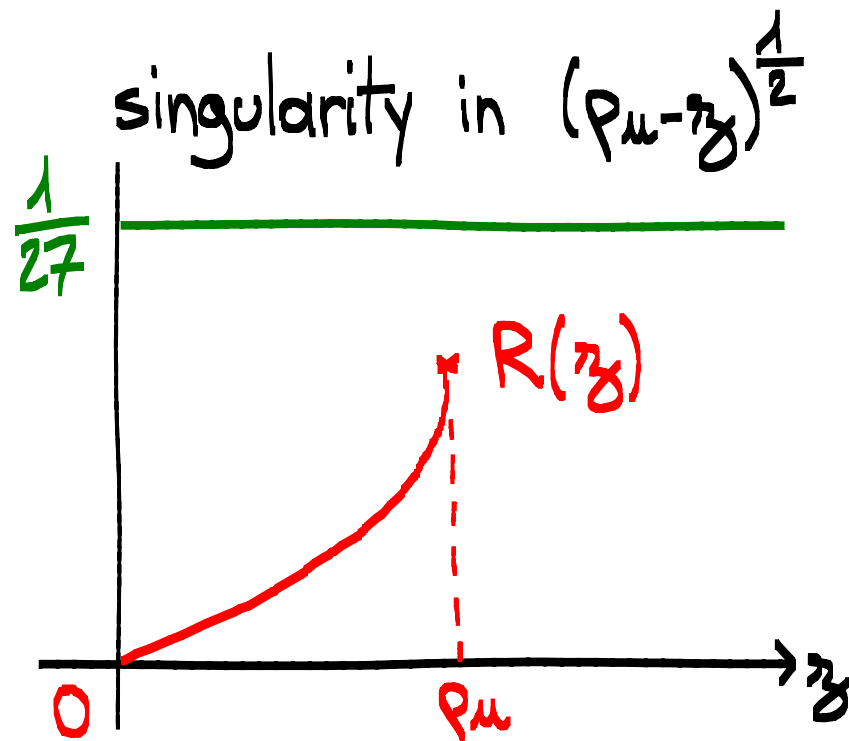
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radius of convergence of  $\phi = \frac{1}{27}$

$$\mu > 0$$



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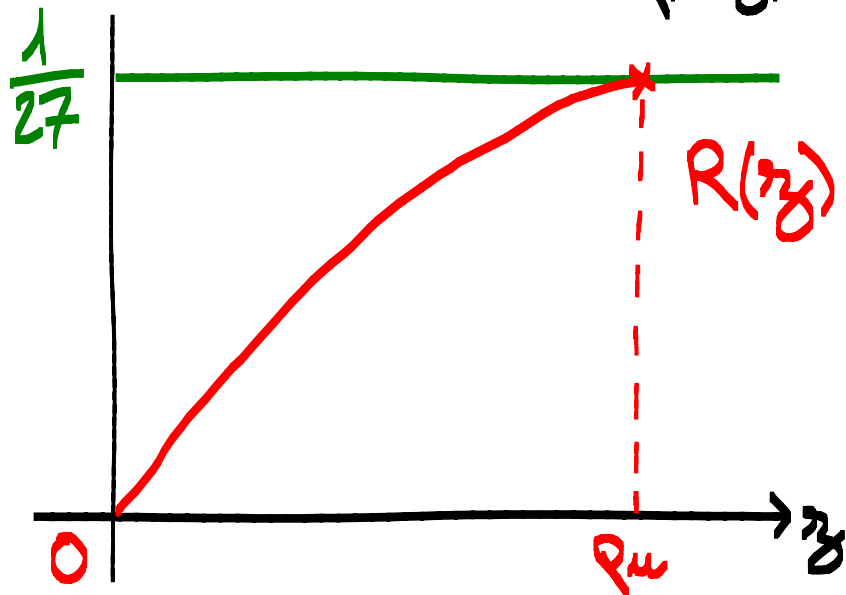
A link between the singular behaviour of  $F(z, \mu)$  near  $\rho_\mu$  and the asymptotic behaviour of  $f_n(\mu)$ .

$$R = z_\gamma + \mu \phi(R) \quad \text{radius of convergence of } \phi = \frac{1}{27}$$

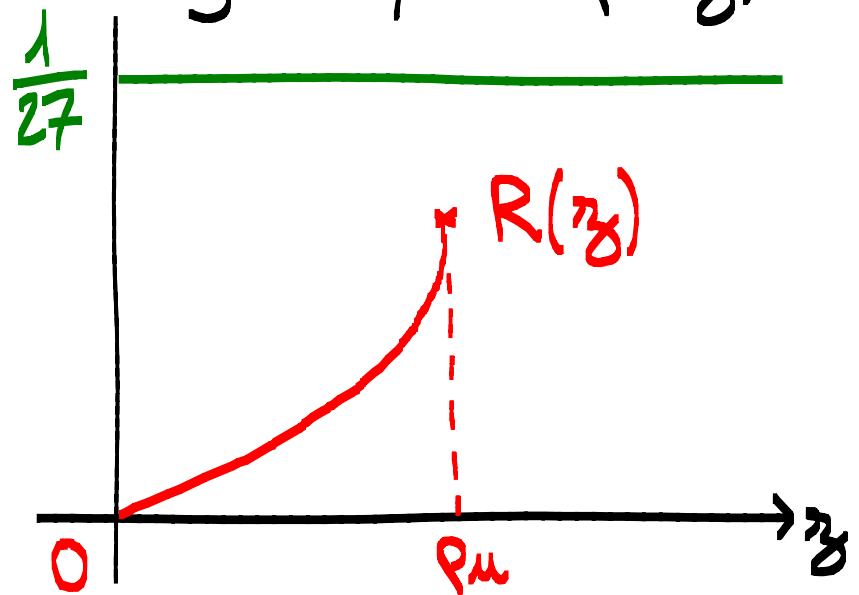
$$\mu < 0$$

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singularity in  $\frac{\rho_\mu - z_\gamma}{\ln(\rho_\mu - z_\gamma)}$



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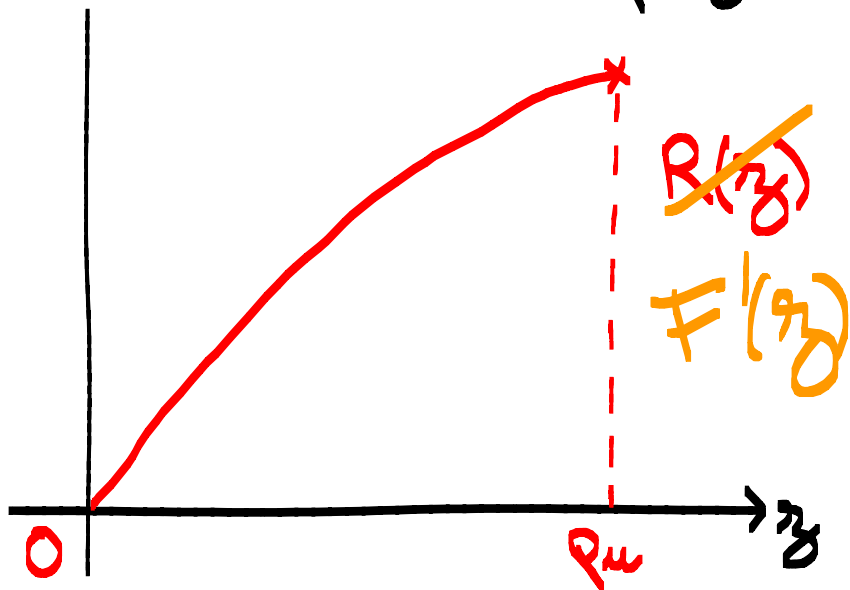
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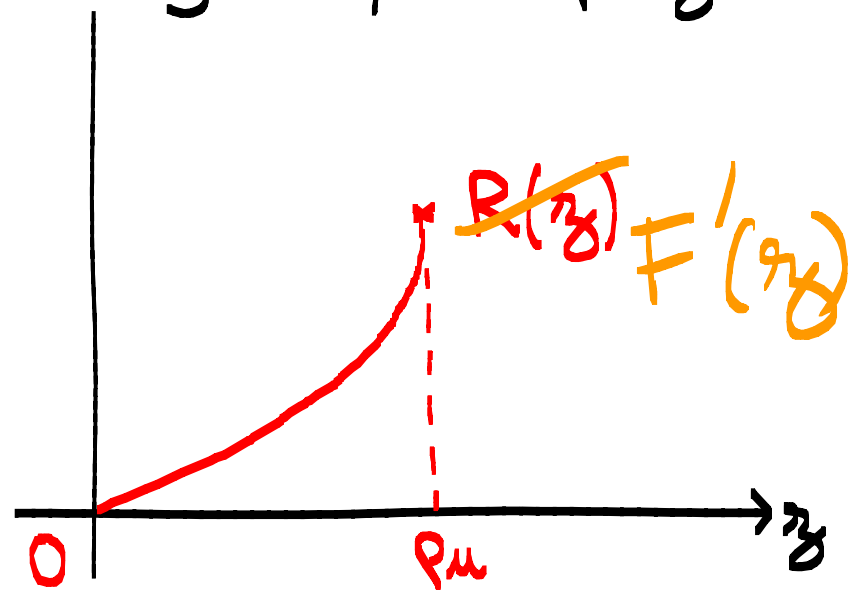
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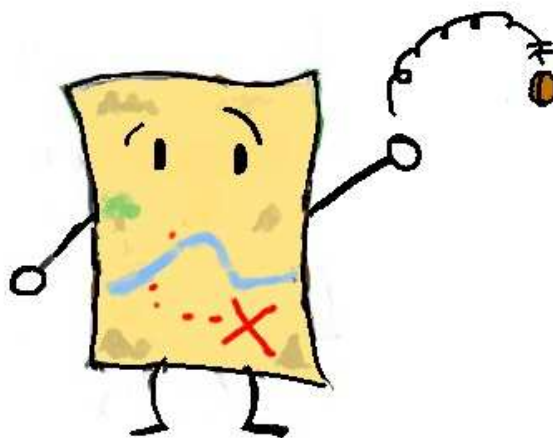
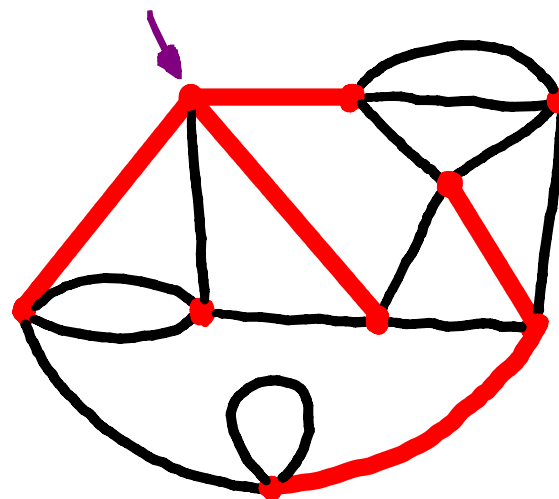


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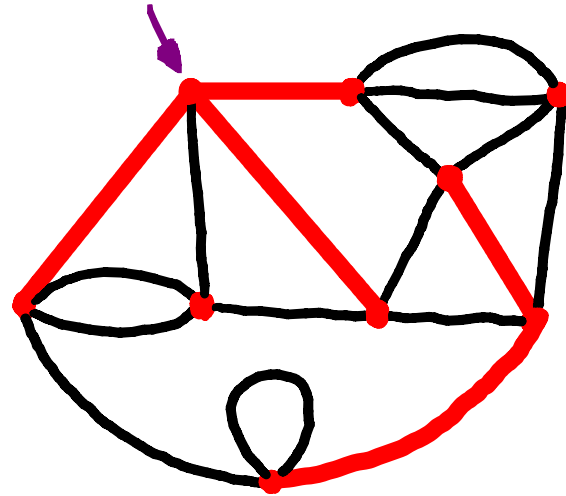
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Fix  $n \in \mathbb{N}$ ,  
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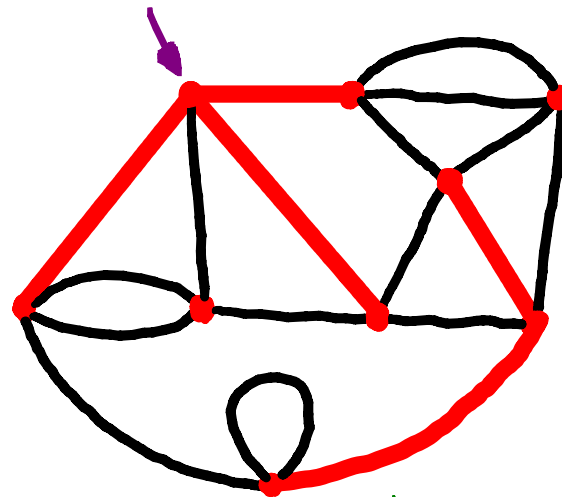
Th

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gaussian law with linear mean  
& linear variance -

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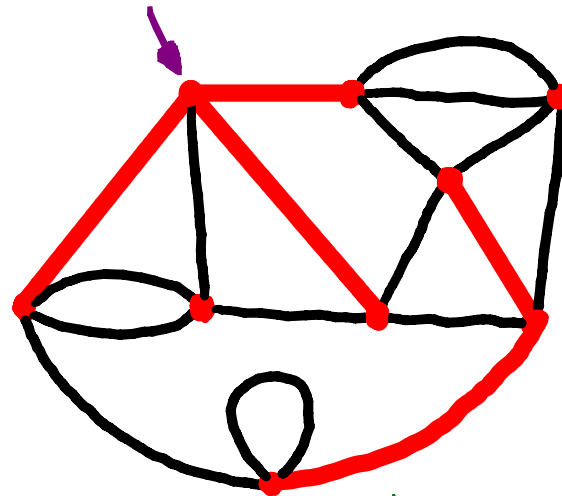
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Here  $S_n = 4$

$S_n =$  size of the root component (number  
of vertices)

Th

$$\lim_{n \rightarrow +\infty} \mathbb{P}_n(S_n = k) = \frac{4 (3k)!}{(k-1)! k! (k+1)!} \frac{z_1^k}{\phi'(z_1)}$$

# EXTENSION OF THE RESULTS

Fix a set of permitted vertex degrees -

	Eulerian		not Eulerian	
Functional system	$R = z + u \phi(R)$ $F' = \Theta(R)$		$R = z + u \phi_1(R, S)$ $S = u \phi_2(R, S)$ $F' = \Theta(R, S)$	
Nature of $F(z, u)$	$\mathcal{D}$ -algebraic if the set of permitted degrees is a finite union of arithmetic progressions.			
	aperiodic	periodic	aperiodic	periodic
Asymptotic behaviour	4-valent ✓ Eulerian general maps ✓	$(2l)$ -valent $l \geq 3$ ✓	cubic ✓ general maps ?	$(2l+1)$ -valent, $l \geq 2$ ?



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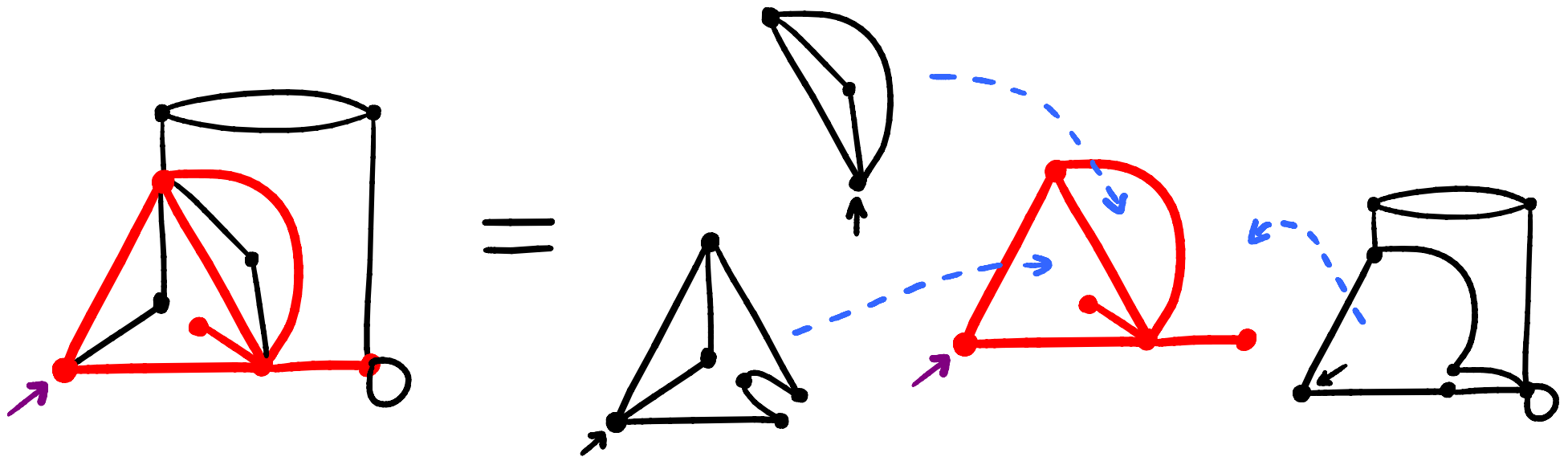
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	Prospects			



# OTHER PROSPECTS

→ Go further into probability results.

→ Maps equipped with a bond animal.



Objective: Bond percolation on maps.

THANK YOU! AND  
HAPPY HALLOWEEN!

