

ONE-TWO TREES let's twist!



Julien COURTIEL, Matthieu DIEN, Paul DORBEC




PART I

WHY CHORDAL
GRAPHS?

WHAT IS A CHORDAL GRAPH?

DEFINITION

chordal graph =

- start from 
- pick  **CLIQUE** → attach new vertex 
- repeat ↻

clique = subgraph where every pair of vertices is connected.




Ex:



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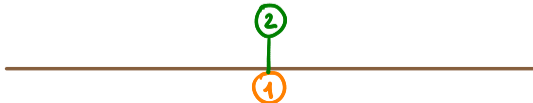
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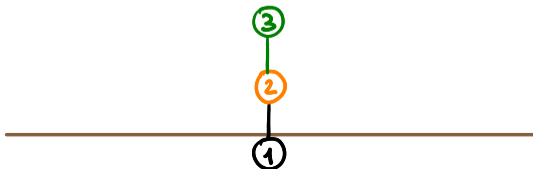
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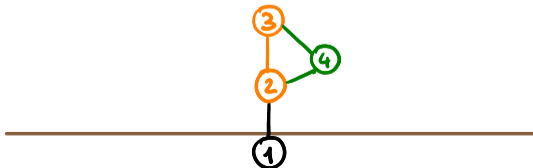
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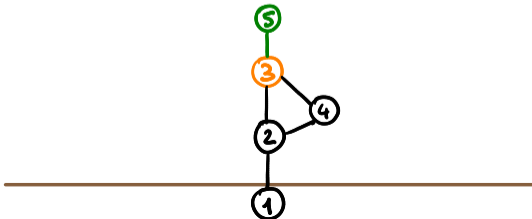
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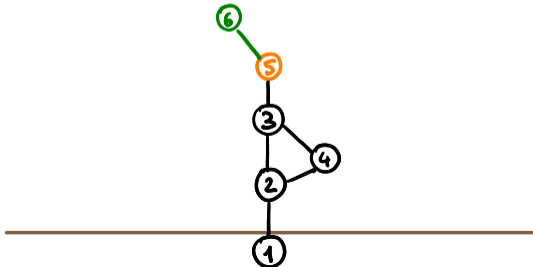
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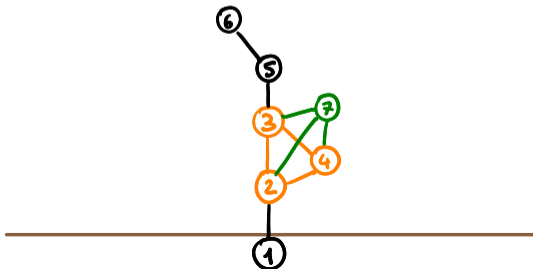
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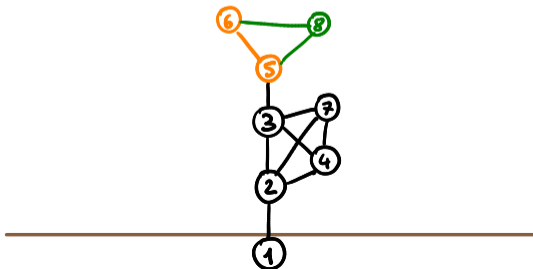
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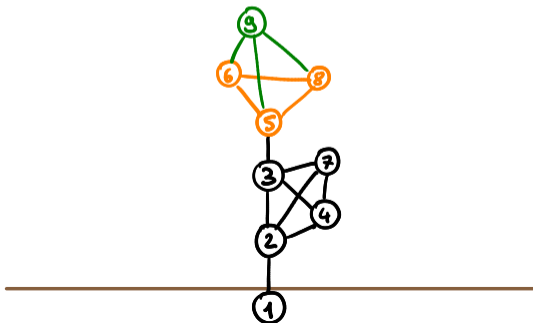
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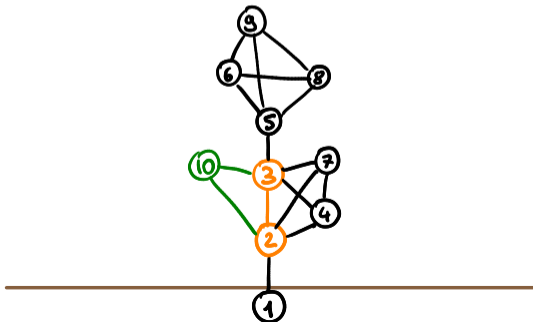
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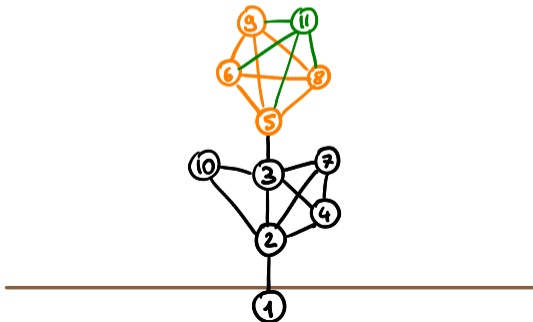
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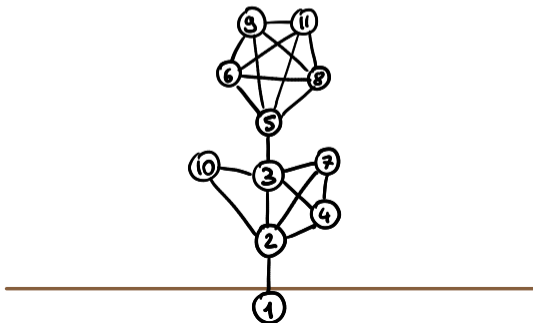
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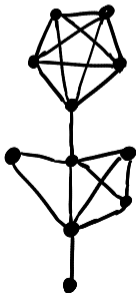
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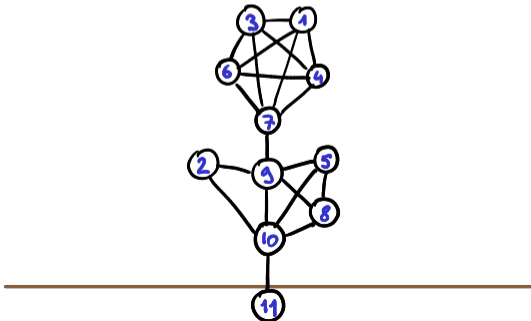
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removable vertex = vertex whose neighbors form a clique
perfect elimination order = order that removes all vertices

DEFINITION 2

chordal graph = graph with a perfect elimination order

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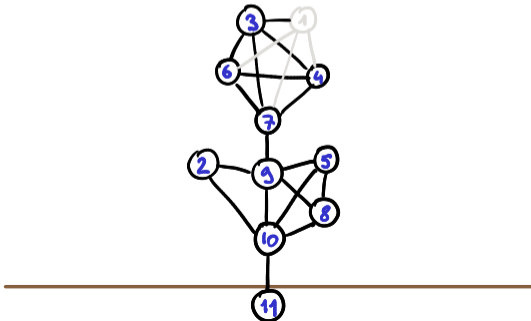
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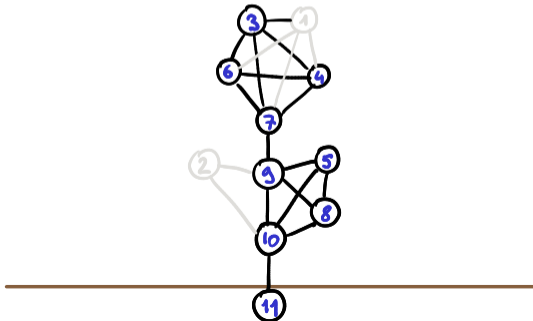
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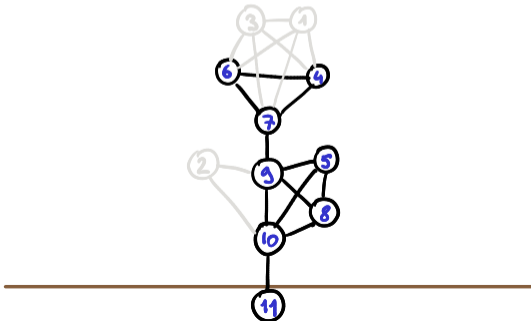
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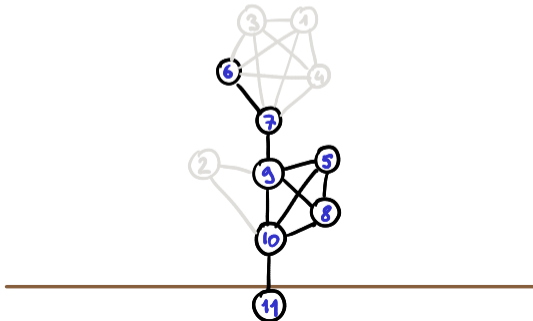
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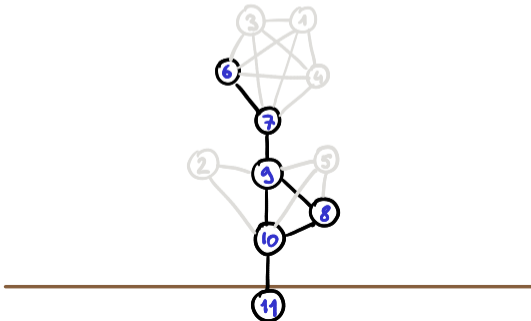
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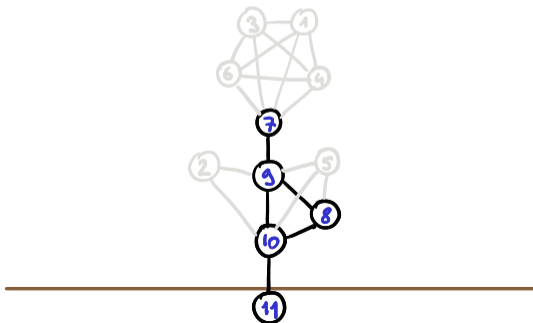
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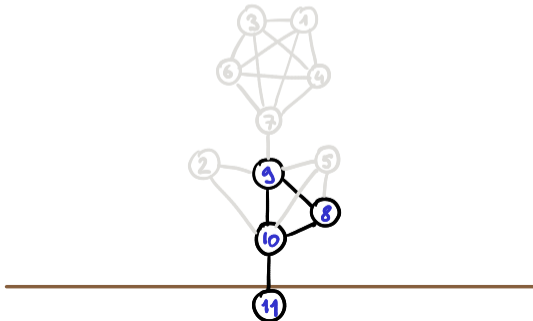
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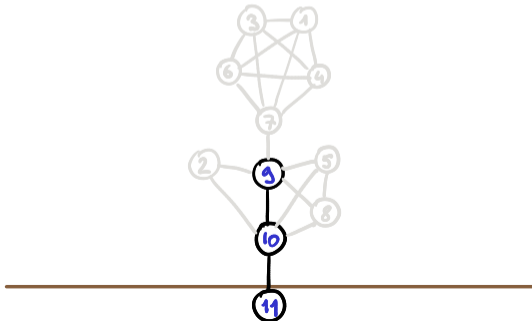
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FORBIDDEN PATTERN DEFINITION

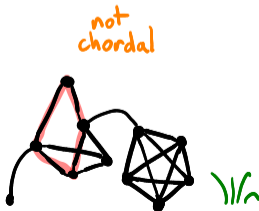
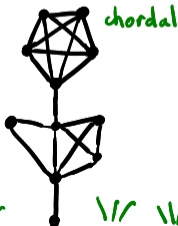
In a cycle,

chord = edge between two non-consecutive vertices



DEFINITION 3

chordal graph = graph without chordless cycles of length ≥ 4 .



MOTIVATION BEHIND CHORDAL GRAPHS

~ 16000 papers on Google Scholar
(point of comparison: "Turing degree" ~ 4000 papers)

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DOMAINS WHERE THEY APPEAR
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numerical
linear algebra

$$\begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} \begin{pmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{pmatrix} = \begin{pmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{pmatrix}$$

phylogenetics



Bayesian
networks



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$$\begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} \begin{pmatrix} j & k & l \\ m & n & o \\ p & q & r \end{pmatrix} = \begin{pmatrix} s & t & u \\ v & w & x \\ y & z & \alpha \end{pmatrix}$$

scientific zoom

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CHOLESKY DECOMPOSITION

Goal of
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Given a symmetric positive-definitive matrix S ,
find a lower triangular matrix L such that

$$S = L L^T$$
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Remark: Not all decompositions are equivalently good.

Ex:

$$\begin{pmatrix} 5 & 1 & 1 & 1 & 1 \\ 1 & 4 & 0 & 0 & 0 \\ 1 & 0 & 3 & 0 & 0 \\ 1 & 0 & 0 & 2 & 0 \\ 1 & 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 2.236 & 0 & 0 & 0 & 0 \\ 0.447 & 1.943 & 0 & 0 & 0 \\ 0.447 & -0.103 & 1.670 & 0 & 0 \\ 0.447 & -0.103 & -0.126 & 1.332 & 0 \\ 0.447 & -0.103 & -0.126 & -0.170 & 0.863 \end{pmatrix} \begin{pmatrix} 2.236 & 0.447 & 0.447 & 0.447 & 0.447 \\ 0 & 1.943 & -0.103 & -0.103 & -0.103 \\ 0 & 0 & 1.670 & -0.126 & -0.126 \\ 0 & 0 & 0 & 1.332 & -0.170 \\ 0 & 0 & 0 & 0 & 0.863 \end{pmatrix}$$

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Question: When does a Cholesky decomposition keep the 0's?

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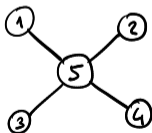
Theorem [Rose, Tarjan, Lueker, 1976]

Cholesky decomposition preserves the number of "zero entries"

if and only if

the graph with edge set $\{(i,j) \mid A_{i,j} \neq 0\}$
is chordal and
 $1, 2, \dots, d$ is a perfect elimination order

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Problems:

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tractable up to
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Problems:

no control over
probability
distribution

tractable up to
30 vertices

Interesting Goal. Efficient uniform random sampler for chordal graphs

NEED FOR RANDOM GENERATION

Chordal graphs are very studied:
People demand random generators!

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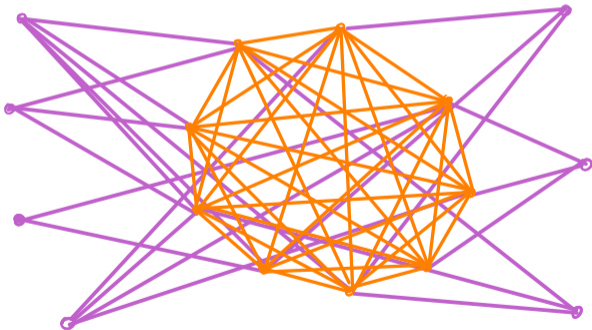
tractable up to
30 vertices

Interesting Goal? Efficient uniform random sampler for chordal graphs
That would be interesting, right?

UNIFORM DISTRIBUTION IS LAME

All chordal graphs look alike under uniform distribution:
about 1/2 the vertices form a **dique**, the rest form an **independent set**

[Bender Richmond Wormald 1985]



AN INTERESTING PARAMETER: TREEWIDTH

treewidth = graph parameter of paramount importance
notably in parametrized complexity

[cf. Courcelle's theorem]

AN INTERESTING PARAMETER: TREEWIDTH

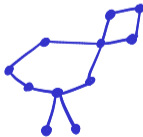
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[cf. Courcelle's theorem]

DEFINITION

$\text{treewidth}(G) = \min_{\substack{\text{chordal graph } C \\ \text{such that } G \subseteq C}} \text{size of the largest clique in } C - 1$

Ex



treewidth =

AN INTERESTING PARAMETER: TREEWIDTH

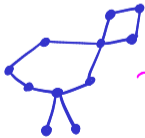
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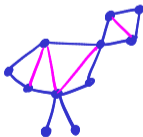
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Ex



treewidth = 2



max clique = 3

AN INTERESTING PARAMETER: TREEWIDTH

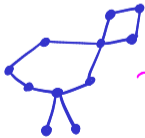
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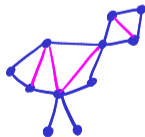
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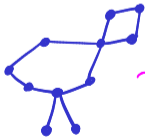
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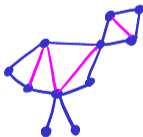
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Ex



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max clique = 3



treewidth = 3



max clique = 4

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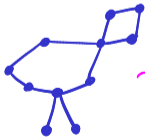
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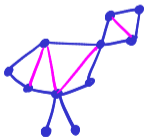
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Ex



treewidth = 2



max clique = 3



treewidth = 3



or



max clique = 4

Heuristic

Real graphs have small treewidth.

MORAL OF THIS INTRODUCTION

Chordal graphs are important

Perfect elimination ordering matters

Small treewidth is better

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What we wish to study: chordal graphs with treewidth $\leq k$
with a perfect elimination ordering

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Perfect elimination ordering matters
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What we wish to study: chordal graphs with treewidth $\leq k$
with a perfect elimination ordering

Note: chordal graphs with treewidth $\leq k$ were studied by [Castellvi et al.]

PART II

WHAT WE DID

(TREETWIDTH ≤ 2)

INCREASING 1,2-TREES

DEFINITION

increasing 1,2-tree = • start from ①

• pick \bullet vertex \rightarrow attach leaf



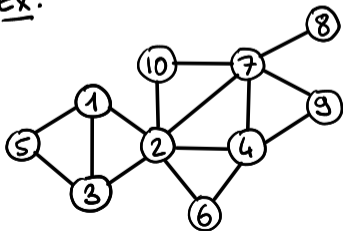
or

pick --- edge \rightarrow attach triangle



• repeat ↻

Ex:



increasing 1,2-tree =

chordal graph

with treewidth ≤ 2

with a perfect elimination ordering

INCREASING 1,2-TREES

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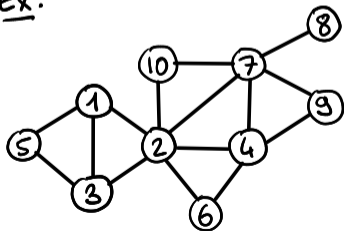
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• repeat ↻

Ex:



Objectives

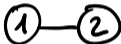
- Count the increasing 1,2-trees with n vertices
- Sample a random increasing 1,2-tree with n vertices uniformly

INCREASING 1,2-TREES

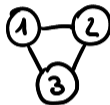
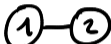
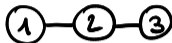
$n=1$



$n=2$



$n=3$



Objectives

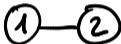
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INCREASING 1,2-TREES

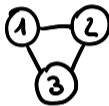
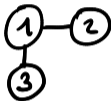
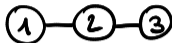
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$n=3$



Anyone willing
to do $n=4$?

Objectives

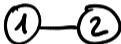
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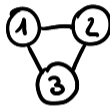
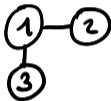
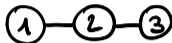
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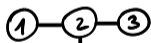
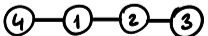
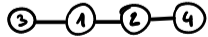
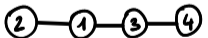
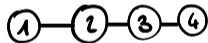
$n=2$



$n=3$



$n=4$



RECURRENCE FOR INCREASING 1,2-TREES

$\mathcal{L}_{n,m}$ = number of increasing 1,2-trees
with n vertices and m edges

$$\mathcal{L}_{n,m} = (n-1) \mathcal{L}_{n-1,m-1} + (m-2) \mathcal{L}_{n-1,m-2}$$

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Size	1	2	3	4	5	6
Number of increasing 1,2-trees	1	1	3	16	125	1296

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These numbers seem to be n^{n-2} ,
the number of Cayley trees?

CAYLEY TREES

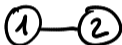
Cayley tree = labelled tree

[Cayley 1889] Cayley trees with n vertices are counted by n^{n-2} .

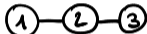
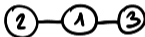
$n=1$



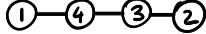
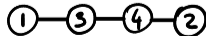
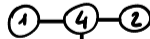
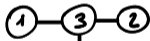
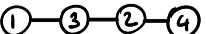
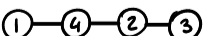
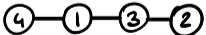
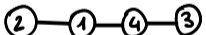
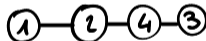
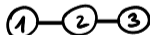
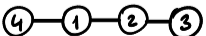
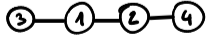
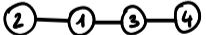
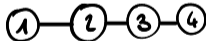
$n=2$



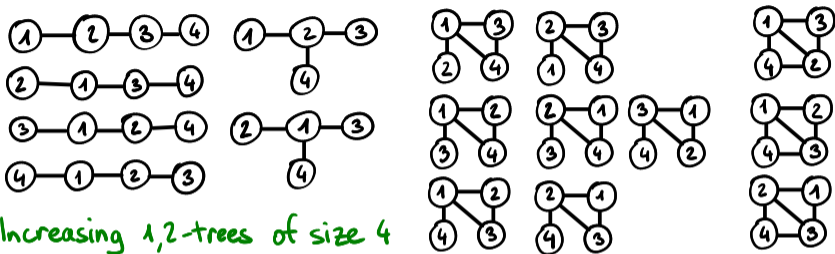
$n=3$



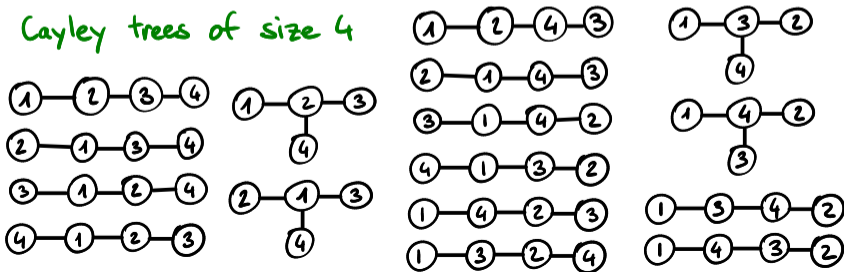
$n=4$



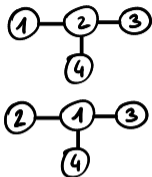
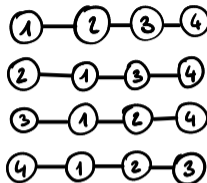
CAYLEY TREES & INCREASING 1,2-TREES



Cayley trees of size 4

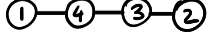
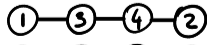
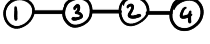
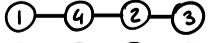
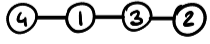
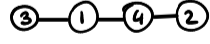
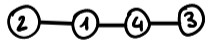
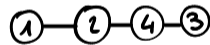
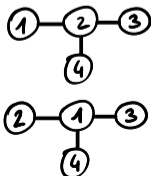
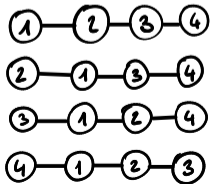


Number of increasing 1,2-trees = number of Cayley trees ?



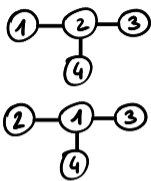
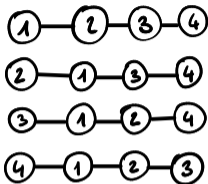
Increasing 1,2-trees of size 4

Cayley trees of size 4



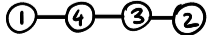
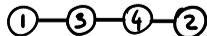
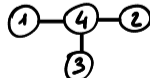
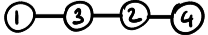
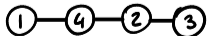
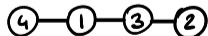
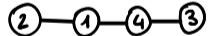
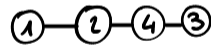
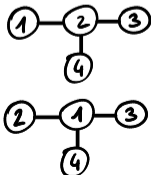
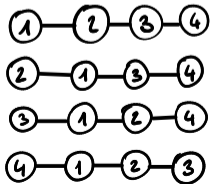
Number of increasing 1,2-trees
= number of Cayley trees?

"It's a matter of 10 minutes."
Matthieu Dien



Increasing 1,2-trees of size 4

Cayley trees of size 4





A FEW
YEARS LATER





A FEW
YEARS LATER



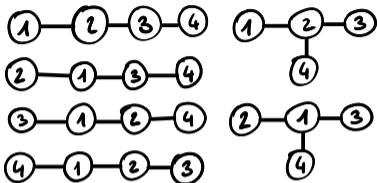
Theorem [Courtiel Dien Dorbec]

number of increasing 1,2-trees with n vertices
= number of Cayley trees with n vertices

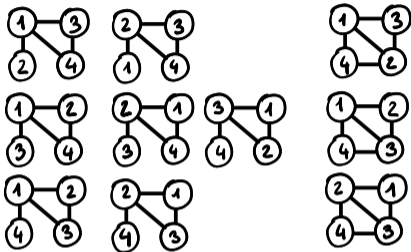
triangles



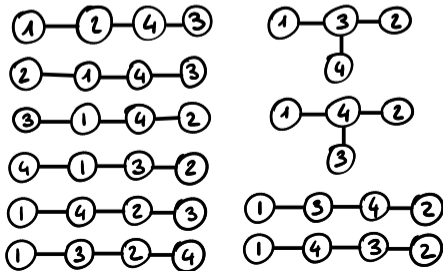
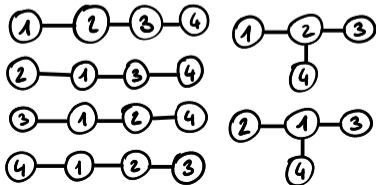
???



Increasing 1,2-trees of size 4



Cayley trees of size 4



TWISTS

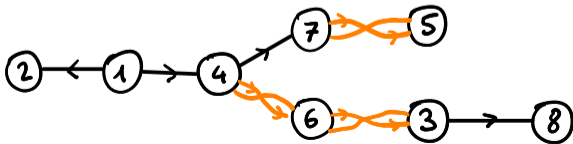
Root at ①

increasing edge

= edge from a vertex x
to a subtree where all
vertices have label $> x$



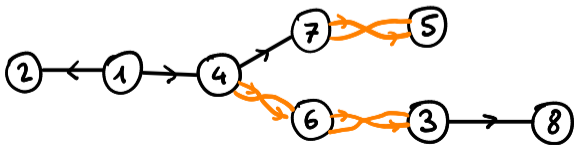
twist = non-increasing edge.



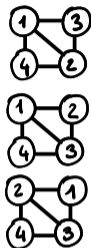
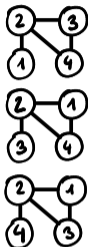
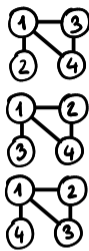
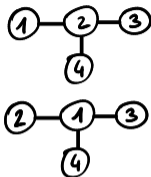
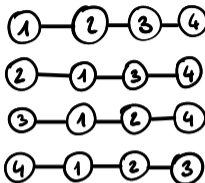
OUR THEOREM

Theorem [Courtial Dien Dorbec]

number of increasing 1,2-trees with n vertices
and m triangles
= number of Cayley trees with n vertices
and m twists

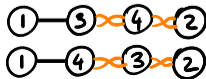
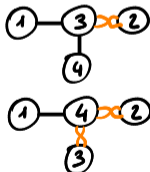
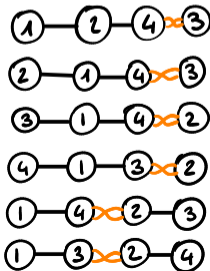
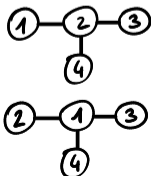
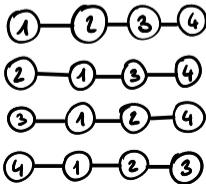


triangles \longleftrightarrow twists!



Increasing 1,2-trees of size 4

Cayley trees of size 4



OUR THEOREM

Theorem [Courtial Dien Dorbec]

number of increasing 1,2-trees with n vertices
and m triangles
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and m twists

3 proofs

1. Recurrence
[Shor 1995]

2. Analytic
(Solving a PDE)

3. Bijection

OUR THEOREM

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number of increasing 1,2-trees with n vertices
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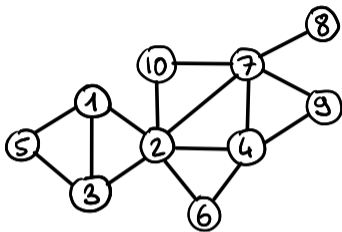
3 proofs

1. Recurrence
[Shor 1995]

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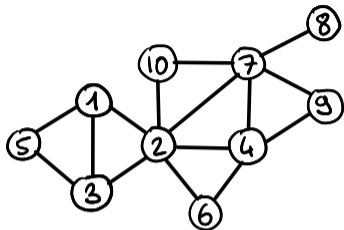
BIJECTION



↗
in a
reversible
way

Cayley tree

BIJECTION



Step 1. Arrange the edges into a plane forest

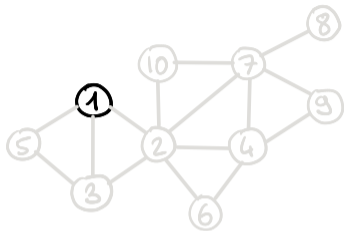
Rule



Rule



BIJECTION



Step 1. Arrange the edges into a plane forest

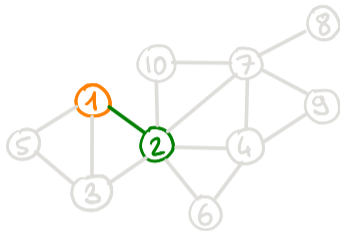
Rule



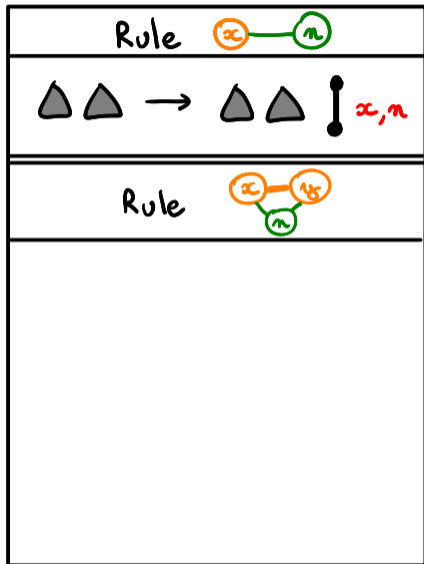
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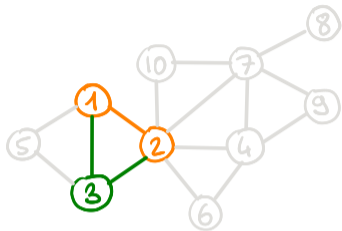
BIJECTION



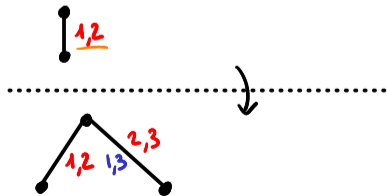
Step 1. Arrange the edges into a plane forest




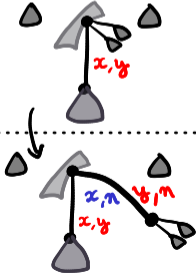


BIJECTION

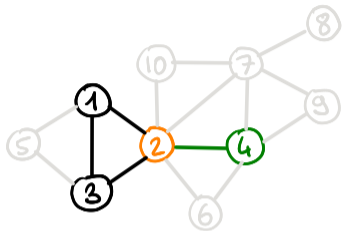


Step 1. Arrange the edges into a plane forest






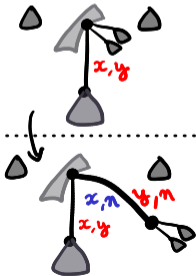
Rule 	
	
Rule 	
Left label	Right label
	

BIJECTION

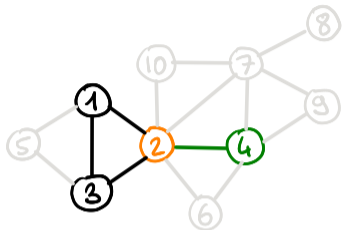


Step 1. Arrange the edges into a plane forest

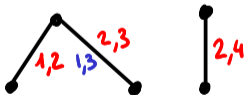





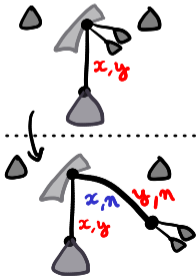
Rule 	
	
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BIJECTION

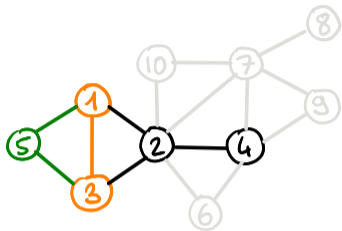


Step 1. Arrange the edges into a plane forest

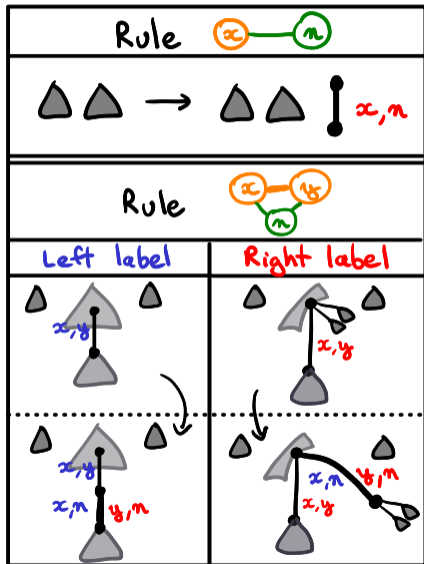
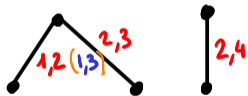


Rule 	
	
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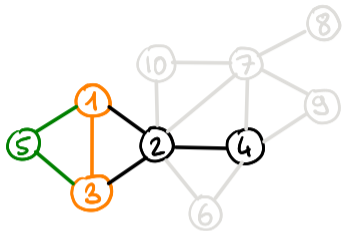
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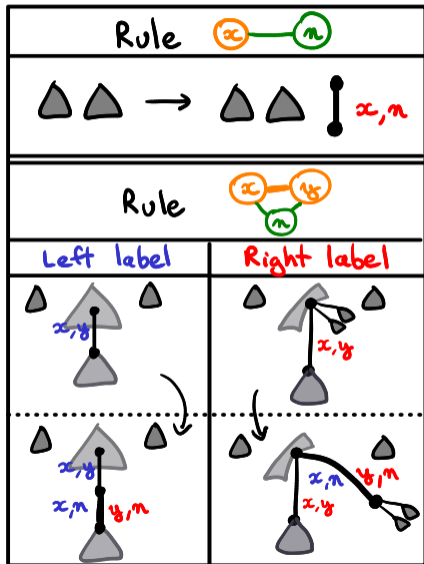
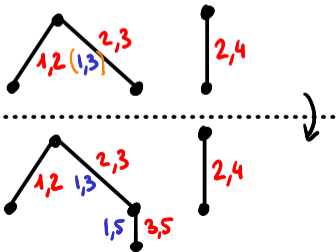
Step 1. Arrange the edges into a plane forest



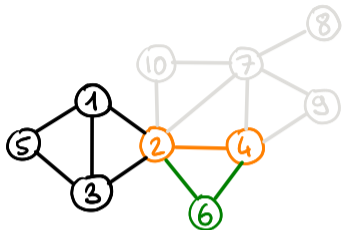
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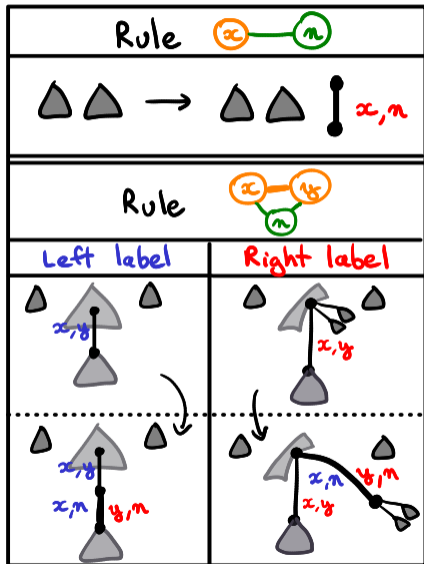
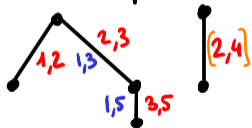
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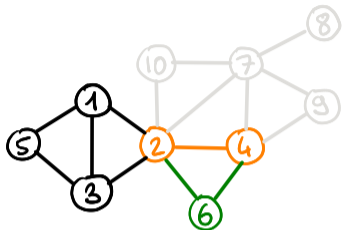
BIJECTION



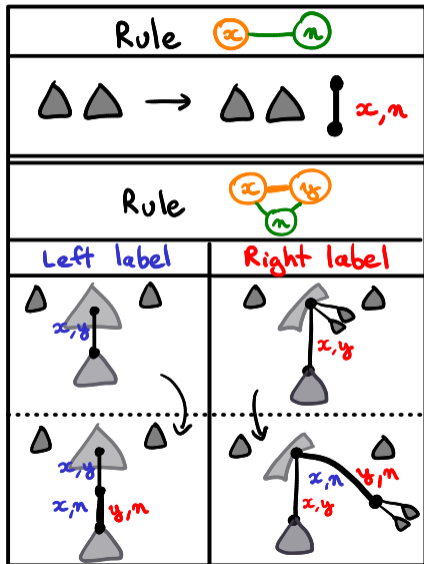
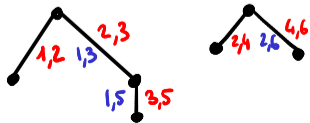
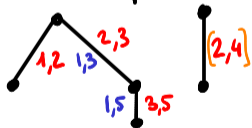
Step 1. Arrange the edges into a plane forest



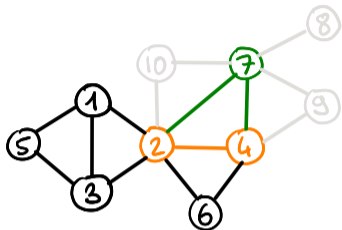
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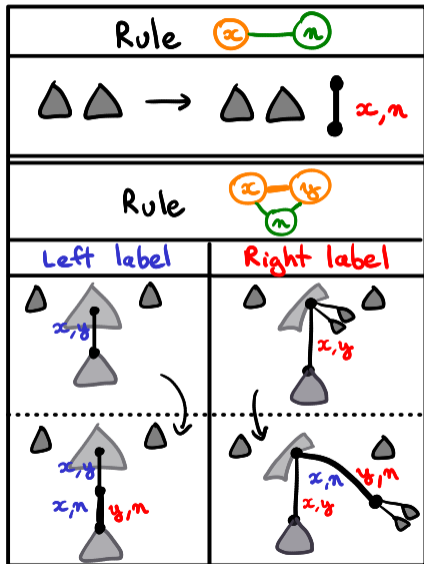
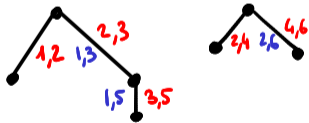
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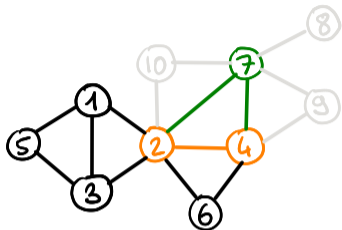
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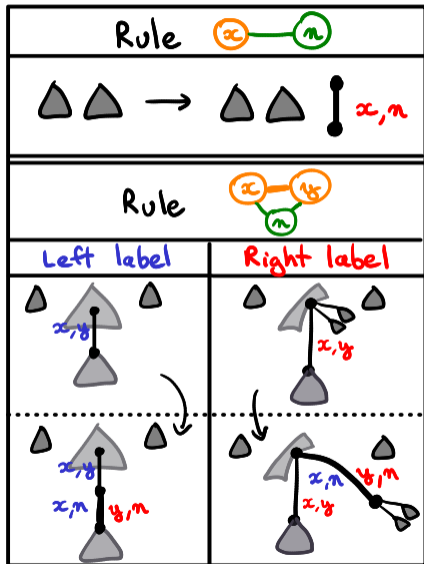
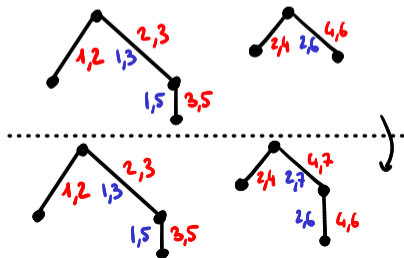
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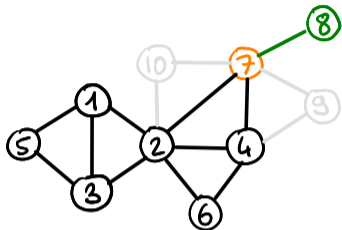
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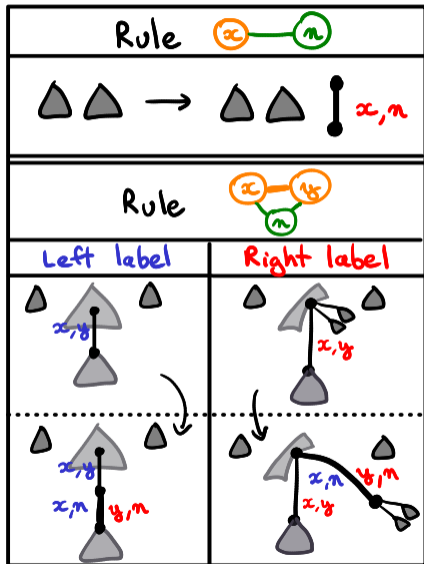
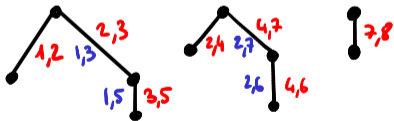
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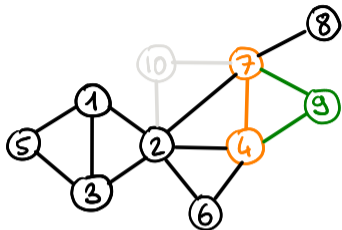
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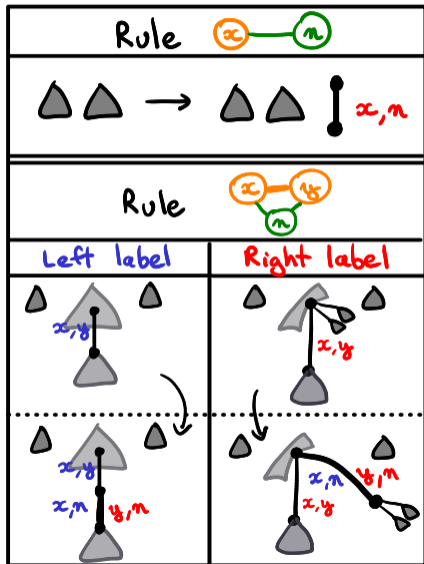
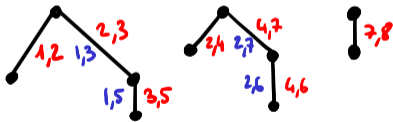
Step 1. Arrange the edges into a plane forest



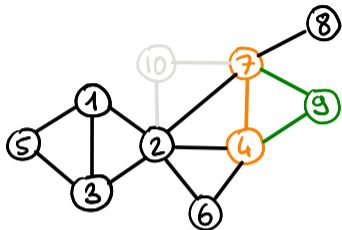
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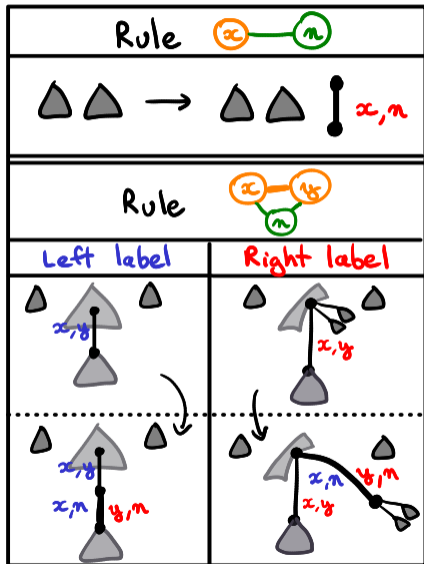
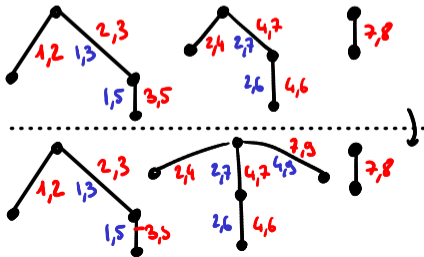
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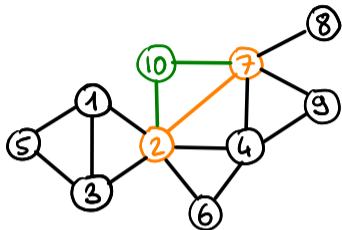
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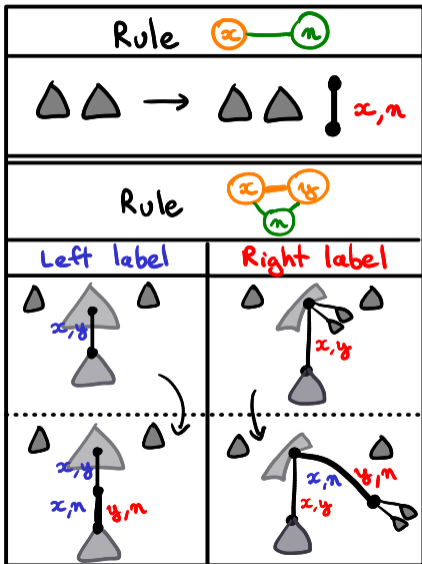
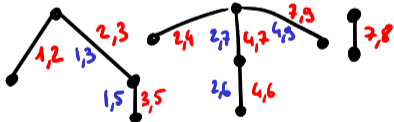
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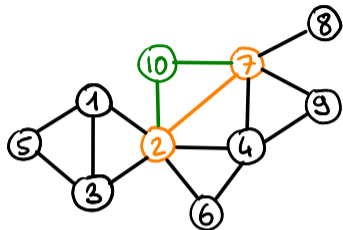
BIJECTION



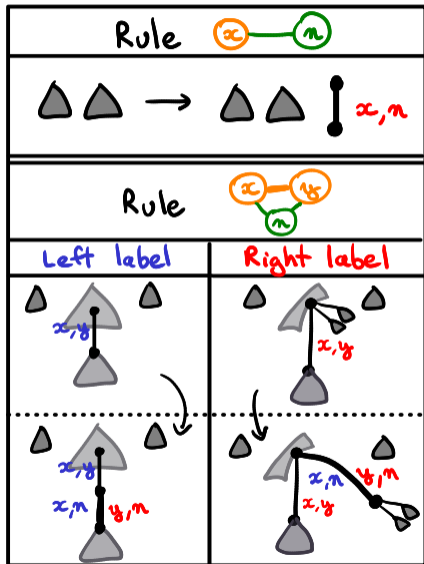
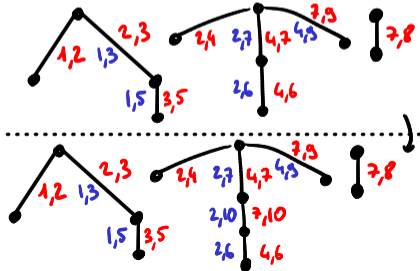
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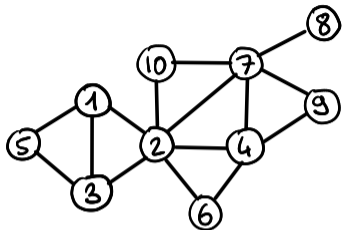
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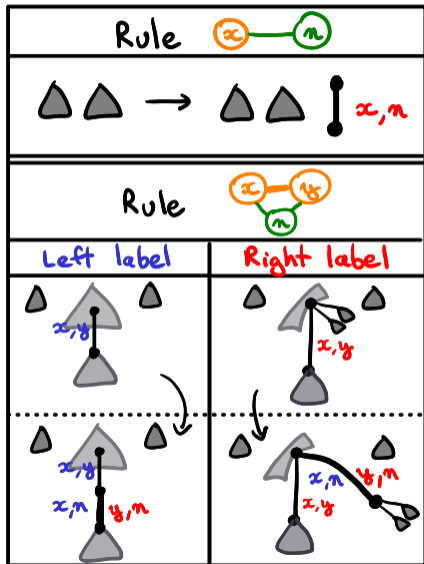
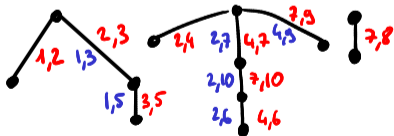
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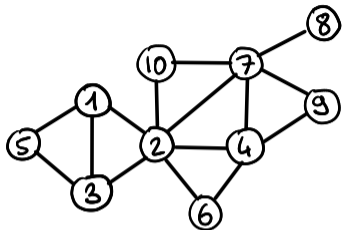
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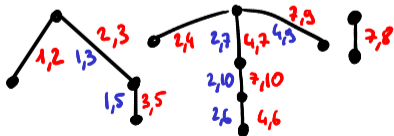
Step 1. Arrange the edges into a plane forest



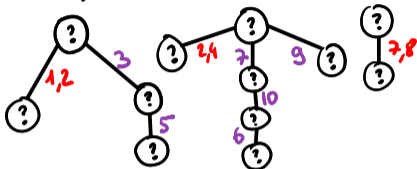
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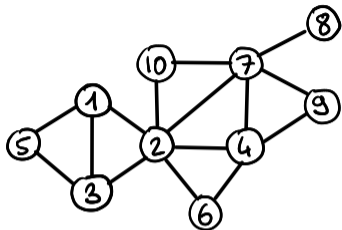
Step 1. Arrange the edges into a plane forest



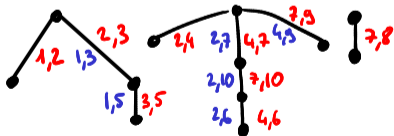
Step 2. Label the vertices



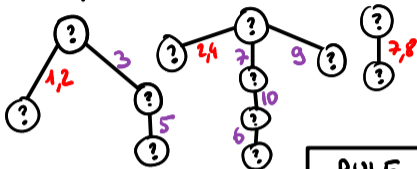
BIJECTION



Step 1. Arrange the edges into a plane forest



Step 2. Label the vertices



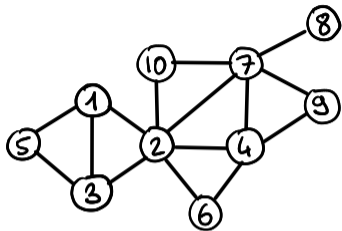
RULE



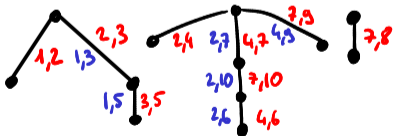
MAYOR
MATCHMAKER
HIKER

Rightmost DFS:
match the
 i^{th} vertex
with the i^{th} edge

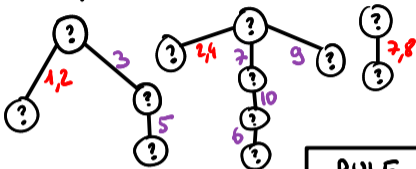
BIJECTION



Step 1. Arrange the edges into a plane forest



Step 2. Label the vertices

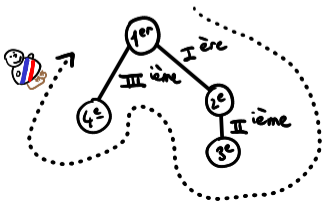


RULE

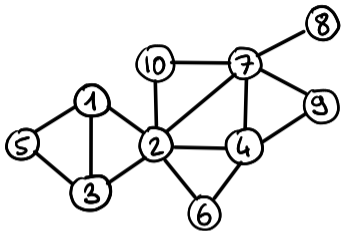


MAYOR
MATCHMAKER
HIKER

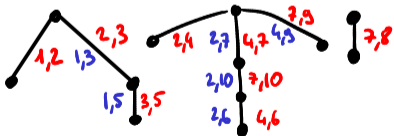
Rightmost DFS:
match the
 i^{th} vertex
with the i^{th} edge



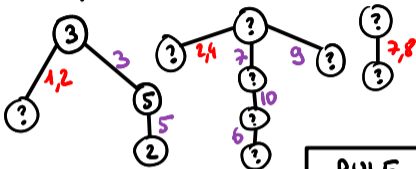
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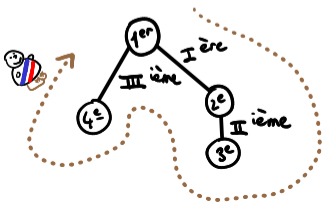


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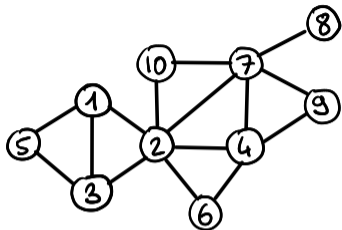


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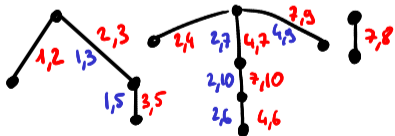
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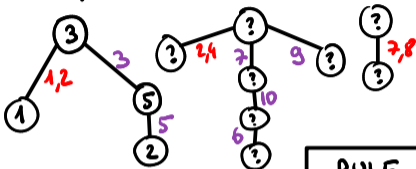
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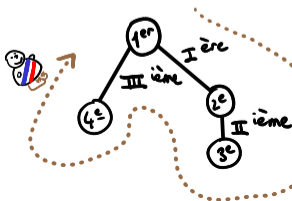


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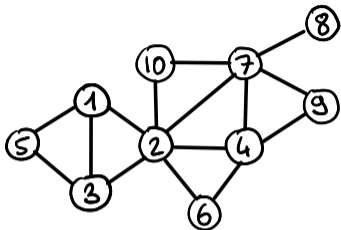


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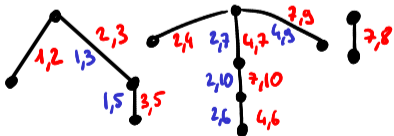
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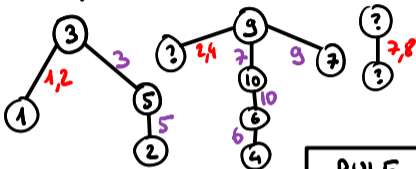
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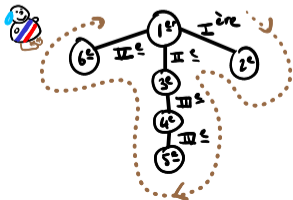


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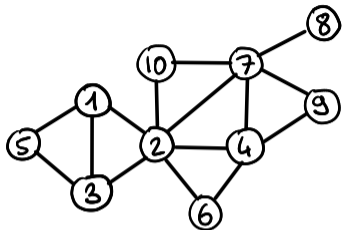


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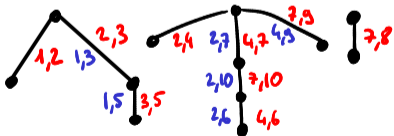
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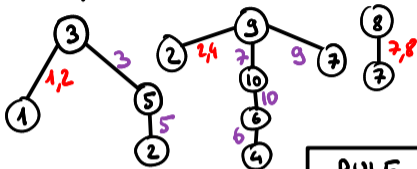
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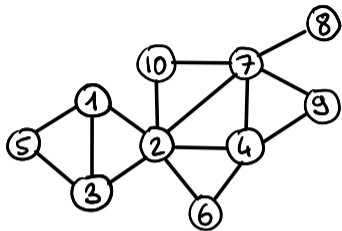
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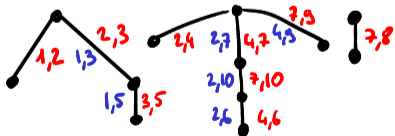
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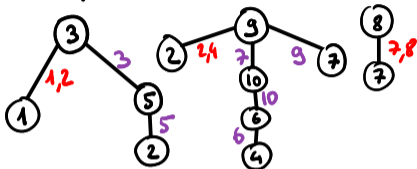
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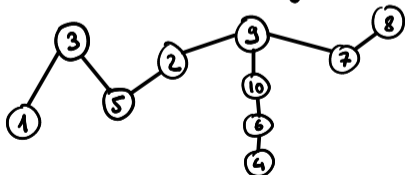
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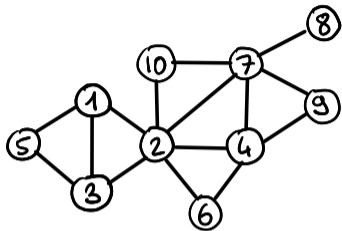
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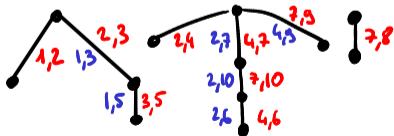
Step 3 - Merge vertices with same label & forget the rest



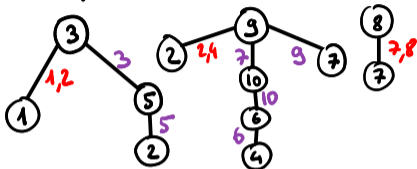
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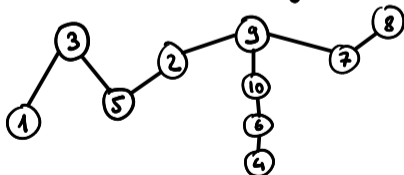
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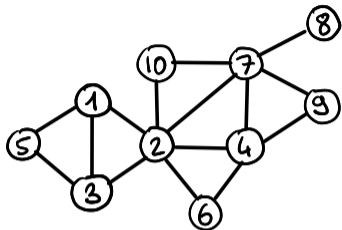


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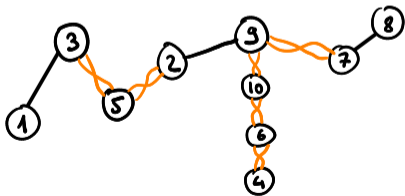


Theorem: That's a bijection!

BIJECTION



INCREASING 1,2-TREE



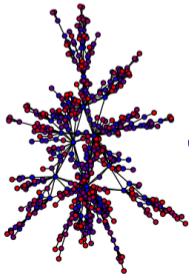
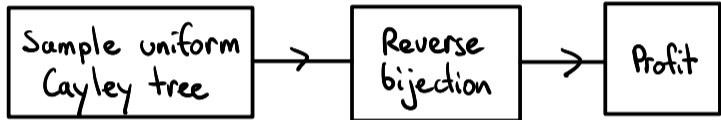
CAYLEY TREE

This bijection sends:

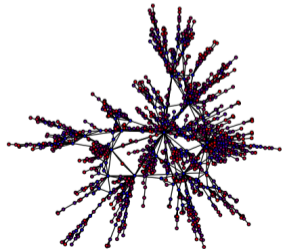
- vertices to vertices
- triangles to **twists**
- increasing trees to the same increasing trees
- increasing 2-trees to Cayley trees with only **twists** (except 1 edge)

APPLICATION: RANDOM GENERATION

Algorithm sampling a random increasing 1,2.-tree with n vertices in linear time:



500
vertices



1200
vertices

A LAST SLIDE FOR THE EXPERTS

What about the analytic proof?

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The exponential generating function $C(z, u)$ of increasing 1,2-trees satisfies the PDE

counts vertices \rightarrow counts edges

$$\frac{\partial C}{\partial z} = 1 + z u \frac{\partial C}{\partial z} + u^3 \frac{\partial C}{\partial u} \quad \text{with initial condition } C(0, u) = 0$$

The unique solution is

$$C(z, u) = \text{Cayley} \left(\frac{u z + u - 1}{u} e^{\frac{1-u}{u}} \right) + \frac{1}{2u^2} - \frac{1}{2}$$

where

$$\text{Cayley}(x) = \sum_{n \geq 0} \frac{n^{n-2}}{n!} x^n.$$

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Open question: Is there a combinatorial interpretation?

PERSPECTIVES

We solved: chordal graphs with treewidth $\leq k$
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Comparison with other models:

- uniform chordal graphs with treewidth $\leq k$
[Castellvi et al.]
- chordal graphs with treewidth $\leq k$ with a perfect elimination ordering seen as Pólya urn model.

MERCI!

ET VIENS DANSER
LE TWIST!

